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Active sound localization sharpens spatial tuning in human primary auditory cortex.

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36

37 **ABSTRACT**

38 Spatial hearing sensitivity in humans is dynamic and task-dependent, but the mechanisms in  
39 human auditory cortex that enable dynamic sound location encoding remain unclear. Using  
40 functional magnetic resonance imaging (fMRI), we assessed how active behavior affects  
41 encoding of sound location (azimuth) in primary auditory cortical areas and planum temporale  
42 (PT). In the current hierarchical model of auditory processing and cortical functional  
43 specialization, the planum temporale (PT) is implicated in sound location ('where') processing.  
44 Yet, strikingly, our results show that spatial tuning profiles in the left primary core and right  
45 caudo-medial belt sharpened during a sound localization ('where') task compared to a sound  
46 identification ('what') task. In contrast, spatial tuning in PT was sharp but did not vary with task  
47 performance. We further applied a population pattern decoder to the measured fMRI activity  
48 patterns, which confirmed the task-dependent effects in the left core: sound location estimates  
49 from fMRI patterns measured during active sound localization were most accurate. In PT,  
50 decoding accuracy was not modulated by task performance. These results indicate that  
51 changes of population activity in human primary auditory areas reflect the dynamic and task-  
52 dependent processing of sound location. As such, our findings suggest that the hierarchical  
53 model of auditory processing may need to be revised to include an interaction between primary  
54 and functionally specialized areas depending on behavioral requirements.

55 **SIGNIFICANCE STATEMENT**

56 According to a purely hierarchical view, cortical auditory processing consists of a series of  
57 analysis stages from sensory (acoustic) processing in primary auditory cortex to specialized  
58 processing in higher-order areas. Posterior-dorsal cortical auditory areas – planum temporale  
59 (PT) in humans – are considered to be functionally specialized for spatial processing. However,  
60 this model is based mostly on passive listening studies. Our results provide compelling evidence

61 that active behavior (sound localization) sharpens spatial selectivity in primary auditory cortex,  
62 while spatial tuning in functionally specialized areas (PT) is narrow but task-invariant. These  
63 findings suggest that the hierarchical view of cortical functional specialization needs to be  
64 extended: our data indicate that active behavior involves feedback projections from higher-order  
65 regions to primary auditory cortex.

66

## 67 INTRODUCTION

68 Sound localization is a crucial component of mammalian hearing. In the mammalian auditory  
69 cortex, neural activity in posterior areas is modulated by sound location more than in primary  
70 and anterior areas. These spatially sensitive areas include the caudo-medial and caudo-lateral  
71 belt areas (CM and CL) in non-human primates (e.g. Tian, Reser, Durham, Kustov, &  
72 Rauschecker, 2001), the posterior auditory field (PAF; Harrington, Stecker, Macpherson, &  
73 Middlebrooks, 2008) and dorsal zone (DZ) in cats (Lomber & Malhotra, 2008; Stecker,  
74 Harrington, & Middlebrooks, 2005; Stecker & Middlebrooks, 2003), and the planum temporale  
75 (PT) in humans (Brunetti et al., 2005; Deouell, Heller, Malach, D'Esposito, & Knight, 2007;  
76 Derey, Valente, de Gelder, & Formisano, 2015; McLaughlin, Higgins, & Stecker, 2016; Van der  
77 Zwaag, Gentile, Gruetter, Spierer, & Clarke, 2011; Warren & Griffiths, 2003). For this reason,  
78 cortical processing of sound location is presumably taking place in a functionally specialized,  
79 posterior-dorsal 'where' stream (Arnott, Binns, Grady, & Alain, 2004; Rauschecker & Scott,  
80 2009; Rauschecker & Tian, 2000; Tian et al., 2001).

81 Behavioral evidence from psychophysical studies shows that auditory spatial sensitivity in  
82 humans is dynamic. For example, an auditory target is processed faster when auditory spatial  
83 attention is focused at the location of the target (e.g. Mondor & Zatorre, 1995; Rorden & Driver,  
84 2001; Spence & Driver, 1994). A recent study investigating the neural mechanisms underlying  
85 this dynamic spatial sensitivity in cats identified the primary auditory cortex (A1) as a potential  
86 locus for such dynamic sound location processing. (Lee & Middlebrooks, 2011). In humans, a  
87 recent study reported a region in posterior auditory cortex that exhibited a differential level of  
88 activation based on task performance, but no task modulation of selectivity to interaural level  
89 (ILD) or time differences (ITD) across the entire auditory cortex (Higgins, McLaughlin, Rinne, &  
90 Stecker, 2017). However, it is presently not clear whether task performance results in  
91 sharpening of spatial tuning within distinct regions of the human auditory cortex, and whether

92 this sharpening occurs preferentially in functionally specialized ‘where’ regions (i.e. PT) or also  
93 affects primary auditory cortex.

94 Moreover, the effects of task performance on the cortical encoding of sound location are not yet  
95 known. The computational mechanisms underlying cortical sound location encoding are still a  
96 matter of debate, and prior studies assessing the validity of these computational mechanisms  
97 have not addressed possible effects of task performance (Day & Delgutte, 2013; Derey et al.,  
98 2015; Harper & McAlpine, 2004; King et al., 2007; McAlpine, Jiang, & Palmer, 2001; Miller &  
99 Recanzone, 2009; Ortiz-Rios et al., 2017; Stecker et al., 2005; Stecker & Middlebrooks, 2003).

100 Here we measured with fMRI the neuronal population responses to different sound azimuth  
101 positions in the human auditory core, lateral belt areas, and planum temporale (PT), while  
102 participants performed different behavioral tasks. We then evaluated the spatial selectivity of  
103 neuronal populations within these areas across task conditions. Additionally, we applied a  
104 modified version of a maximum-likelihood population-pattern decoder previously used to decode  
105 sound location from neural spike rates (Day & Delgutte, 2013; Jazayeri & Movshon, 2006; Miller  
106 & Recanzone, 2009) to assess whether sound location encoding in fMRI activity patterns in  
107 human auditory cortex within and across hemispheres is modulated by task performance. Our  
108 results provide new insights into the dynamic nature of sound location encoding in primary  
109 human auditory cortex. In particular, in agreement with “reverse hierarchy” (Ahissar, Nahum,  
110 Nelken, & Hochstein, 2009) and “recurrent processing” models (Bullier, 2001; Lamme &  
111 Roelfsema, 2000), our data suggest that behavior (sound localization) is enabled by feedback  
112 from functionally specialized areas to primary auditory cortex.

113

114 **MATERIALS AND METHODS**

115 **Participants**

116 Thirteen human volunteers gave informed consent to participate in the experiment. Data of two  
117 participants were excluded from the analysis due to insufficient data quality as a consequence  
118 of excessive motion and participant fatigue. Data of the remaining eleven participants (mean  
119 age = 28.9 years, standard deviation = 11.7 year, seven females) are presented here.  
120 Participants reported no history of neurological disorders. We assessed hearing levels with  
121 pure-tone thresholds (0.5, 1, 2, 4, 8 kHz) using an Oscilla SM910 Screening Audiometer  
122 (Oscilla, Aarhus, Denmark). Hearing thresholds did not exceed 25dB for any of the frequencies  
123 tested. The Institutional Review Board (IRB) of Georgetown University granted approval for the  
124 study.

125 **Stimuli**

126 Stimuli consisted of amplitude-modulated (AM) white noise clips (*probe* sounds, duration = 1200  
127 ms) and click trains (*target* sounds, click rate = 200 Hz, duration = 1200 ms). Probe and target  
128 sounds were created with Matlab (The Mathworks, Inc., Natick, Massachusetts, United States).  
129 Stimuli were presented at one of seven locations (-90°, -60°, -30°, 0°, +30°, 60°, and +90°;  
130 Figure 1 A.

131 All stimuli were spatialized by making subject-specific binaural recordings (Derey et al., 2015).  
132 During the binaural-recording session, participants sat in a chair in the center of a production  
133 studio (internal volume = 66 m<sup>3</sup>, walls and ceiling consisted of gypsum board covered with  
134 fabric, the floor consisted of concrete covered with a carpet) with binaural microphones placed  
135 in their ear canals (OKM II Classic Microphone, Soundman, Germany). A loudspeaker  
136 positioned at zero elevation in the far field (distance to subject = 1.3 meters) presented sounds

137 at each of the locations (Figure 1 A). This procedure resulted in stimuli with a clear spatial  
138 percept based on available ILD, ITD, and spectral cues (Figure 1 C and Figure 1 D).

139 Each stimulus was pre-filtered with headphone equalization filters provided by the manufacturer  
140 of the MRI-compatible earbuds used in the present study (Sensimetrics S14; Sensimetrics  
141 Corporation, Cambridge, MA, United States). The headphone equalization filters ensure a flat  
142 frequency response at the level of the earbuds and remove headphone-induced phase offsets  
143 between the earbuds.

144 For the tonotopy measurements, we used amplitude-modulated pure tones (rate of modulation  
145 = 10 Hz, full-depth modulation, 800ms duration). Pure tones were centered on eight center  
146 frequencies (0.18; 0.30; 0.51; 0.86; 1.46; 2.48; 4.19; 7.09 kHz) with a slight variation of  $\pm 0.1$   
147 octave to prevent habituation (see De Martino et al., 2013). Stimuli for the tonotopy  
148 measurements were also pre-filtered with the headphone equalization filters described above.

## 149 **Experimental design**

150 Participants listened to *probe* trials in three behavioral conditions: *passive listening*, *sound*  
151 *identification*, and *sound localization*. *Probe* trials consisted of five repetitions of a *probe* sound  
152 clip (duration = 1200ms) at the same location. Sound clips were presented in silent gaps (1.4s)  
153 in between fMRI acquisition periods (2s, see *Data acquisition*), resulting in a total duration of 17  
154 seconds per trial (five stimulus repetitions in silent gaps of 1.4s plus five fMRI data acquisition  
155 periods of 2s; Figure 1 B). In the active listening conditions only, participants also listened to  
156 *target* trials. Specifically, in the *sound identification* condition, target trials had a similar structure  
157 (i.e. five repetitions at the same azimuthal location), yet the fourth or the fifth repetition of the  
158 *probe* sounds (AM white noise) was replaced by a deviant *target sound* (click train) at the same  
159 location (Figure 1 B). In the *sound localization* condition, target trials had a similar structure as  
160 well, but the fourth or the fifth repetition of the *probe* sound (AM white noise) was replaced by a

161 *probe* sound at a deviant azimuth location. For example, the first four stimuli were presented at -  
162 90° and the fifth stimulus at +30° (Figure 1 B).

163 During fMRI acquisition, trials were grouped by task (passive listening, sound identification,  
164 sound localization) in a block. In each block, probe trials were presented once at each azimuth  
165 location and were separated by an inter-trial interval of 12.2s (see *Data acquisition* for detailed  
166 information). The order of azimuth locations was randomized within a block. Thus, for *passive*  
167 *listening*, a block consisted of seven probe trials, one at each azimuth location. For the active  
168 tasks – *sound localization* and *sound identification* – a block also contained two target trials  
169 (equivalent to ~22% of the total number of trials) in addition to the seven probe trials. The order  
170 of target and probe trials was randomized within a block.

171 Each participant performed one block of each task per run of fMRI acquisition. Thus, one run  
172 consisted of three blocks corresponding to the three behavioral task conditions. At the start of  
173 each task block, a short audio clip of a voice informed participants of the task at hand: ‘sound  
174 location’, ‘sound identity’, or ‘passive listening’. In the *passive listening* condition, participants  
175 listened to the sounds without making a response. In the *sound identification* condition,  
176 participants pressed a button immediately upon detection of a target sound within a target trial  
177 (i.e. the click train). In the *sound localization* condition, participants pressed a button  
178 immediately upon detecting a location switch within a target trial.

179 The order of blocks was randomized and counterbalanced across participants. In total,  
180 participants completed four runs of the main experiment (~10 minutes each) in the MRI scanner.  
181 This resulted in four probe trial repetitions per azimuth location per task condition. Only probe  
182 trials were included in the subsequent analyses (see *Data analysis*).

183 Prior to the fMRI measurements, participants performed a short practice session to get familiar  
184 with the tasks and with the MRI environment. This also enabled participants to get accustomed

185 to the auditory spatial percept in a supine frame of reference (due to the supine position  
186 required by the MRI scanner). The practice session consisted of passive presentation of the  
187 probe stimuli at each location as well as short task blocks of the *sound localization* and the  
188 *sound identification* task, in which one target trial was presented per task block.

189 Finally, the scan session was concluded with two runs of tonotopy measurements (~7.5 minutes  
190 each). For this experiment, participants listened passively to blocks of AM pure tones in the MRI  
191 scanner. Each block was repeated twice per run, resulting in four repetitions per center  
192 frequency. The order of frequency blocks was randomized (see De Martino et al., 2013).

### 193 **Data acquisition**

194 Data were acquired with a Siemens TIM Trio 3-Tesla magnetic resonance imaging (MRI)  
195 scanner at the Center for Functional and Molecular Imaging (CFMI) at Georgetown University  
196 (Washington, DC, United States). For the main experiment, blood-oxygenated-level-dependent  
197 (BOLD) signals were measured with a  $T_2^*$ -weighted echo-planar imaging (EPI) sequence  
198 covering the temporal cortex and parts of the occipital, parietal, and frontal cortex (echo time  
199 [TE] = 30 ms; repetition time [TR] = 3400 ms; flip angle = 90°; number of slices = 32; voxel size  
200 = 2 mm<sup>3</sup> isotropic). Image acquisition was clustered (TA = 2000 ms), and binaural recordings  
201 were presented in silent gaps (duration = 1400 ms) between subsequent volume acquisitions  
202 through MR-compatible insert earphones (Sensimetrics S14, Sensimetrics Corporation) with  
203 sound-attenuating foam eartips (>29dB attenuation). One sound was presented per TR. Trials  
204 (i.e. five stimulus repetitions per azimuth location corresponding to five TRs, 17 s duration) were  
205 separated by three volumes in which no sound was presented (that is, 12.2 s silence) to allow  
206 the BOLD signal to return to baseline before the onset of the next trial.

207 We also acquired a high resolution anatomical image of the whole brain with a MPRAGE T1-  
208 weighted sequence (TE = 2.13 ms; TR = 2400 ms; voxel size = 1 mm<sup>3</sup> isotropic). For the

209 tonotopic measurements we also used a sparse  $T_2^*$ -weighted EPI sequence to measure the  
210 BOLD signal, covering mainly the temporal cortex (echo time [TE] = 30 ms; repetition time [TR]  
211 = 2600 ms; acquisition time (TA) = 1600 ms; silent gap = 1000 ms; flip angle = 90°; number of  
212 slices = 25; voxel size = 2 mm<sup>3</sup> isotropic). In each run, AM pure tones were presented in the  
213 silent intervals between subsequent volume acquisitions in blocks of six repetitions per center  
214 frequency (15.6 s). Blocks were separated by 12 s of silence (four volumes).

## 215 **Statistical Analysis**

### 216 Data preprocessing

217 Functional and anatomical data were analyzed using BrainVoyager QX (Brain Innovation,  
218 Maastricht, The Netherlands), and customized Matlab code. Preprocessing of functional images  
219 included motion correction (trilinear/sinc interpolation, we used the first run of first volume as  
220 reference volume for aligning), slice scan time correction (sinc interpolation), linear drifts  
221 removal, temporal high pass filtering (threshold = 7 cycles per run), and mild spatial smoothing  
222 (3 mm kernel). Functional images were co-registered to the anatomical T1-weighted image and  
223 transformed to 3D Talairach space (Tournoux & Talairach, 1988). Gray-white matter boundaries  
224 were defined with the BrainVoyager QX automatic segmentation procedure and manually  
225 improved when necessary.

226 Group analyses were performed in surface space to ensure optimal alignment of the auditory  
227 cortex across participants. To this end, we applied cortex based alignment (CBA) to the surface  
228 reconstruction of each participant (Goebel, Esposito, & Formisano, 2006) with the additional  
229 constraint of an anatomical definition of Heschl's gyrus (HG; Kim et al., 2000; Morosan et al.,  
230 2001). High-resolution surface mesh time courses were created by sampling and averaging for  
231 each point on the surface (that is, each vertex) the values from -1 mm below the gray/white  
232 matter boundary up to 2 mm in the gray matter towards the pial surface.

### 233 Univariate analysis of the processing of spatialized sounds

234 To test for the general response to presentation of spatialized sounds, we estimated a random  
235 effects general linear model (RFX GLM) with a predictor for sound presentation including all  
236 probe trials (irrespective of azimuth location or behavioral task condition). Target trials were  
237 modeled with a separate predictor and not included in the contrast.

### 238 Response azimuth functions

239 We constructed a response azimuth function (RAF) for each auditory responsive voxel  
240 (individual subject GLM with one predictor per sound azimuth location per task condition and  
241 excluding target trials, contrast *auditory stimuli* > *baseline*,  $q[\text{FDR}] < 0.05$ ). RAFs consisted of  
242 location-specific beta values estimated with a GLM with one predictor per sound location per  
243 task. RAFs were mildly smoothed with a moving average window of three points (weights [.2 .6  
244 .2]). A peak response was defined as a response at 75% or more of the maximum beta value in  
245 the RAF (see also Derey et al., 2015; Stecker et al., 2005; Stecker & Middlebrooks, 2003). Each  
246 peak was described as a vector with  $length = \beta$ , and  $angle = azimuth\ position$ . The vector sum  
247 then consisted of the summation of these individual vectors.

248 We considered a voxel to be spatially selective if the BOLD response was modulated by sound  
249 azimuth position – as reflected in the RAF – such that at least one and maximally three adjacent  
250 azimuth positions elicited a peak response. A voxel that exhibited a peak response to more than  
251 three adjacent azimuth positions was considered omni-responsive and therefore nonselective.  
252 Voxels that exhibited a peak response to two or more separate azimuth locations were also  
253 considered nonselective.

254 The tuning width of spatially selective voxels was quantified as the equivalent rectangular  
255 receptive field (ERRF) width (Lee & Middlebrooks, 2011). The ERRF is equal to the ratio  
256 between the amplitude of the peak response (that is, the beta value at the preferred location),

257 and the integral of the RAF. Although this measure does not provide an absolute measure of  
258 spatial selectivity, it enables the comparison of spatial selectivity across conditions, areas and  
259 participants. Given that the rostral belt areas were not extensively activated, we focused this  
260 analysis on the caudal belt areas CM and CL.

#### 261 Response sharpening versus response gain

262 We tested whether sharpening of spatial tuning resulted from *BOLD response gain* (that is, an  
263 increase of the BOLD response at the voxel's preferred location), *BOLD response sharpening* (a  
264 decrease in the BOLD response at the voxel's least preferred location), or a combination of the  
265 two. For this comparison, we defined the voxel's best location as the location with the highest  
266 beta value in the task-independent RAF, that is, the average RAF across the two active task  
267 conditions. Similarly, we considered the least-preferred location the azimuth location with the  
268 lowest beta value in the average RAF (see also Lee & Middlebrooks, 2011, 2013)).

#### 269 Decoding sound azimuth position from fMRI activity patterns

270 To decode sound location, we applied a population-pattern decoder to the measured fMRI  
271 activity patterns in two regions of interest: the core region and PT. We selected these regions  
272 based on prior research in animals indicating primary auditory cortex as a potential locus for  
273 dynamic spatial sensitivity (Lee & Middlebrooks, 2011) and prior neuroimaging research in  
274 humans illustrating the role of PT in spatial auditory processing in the human brain (Brunetti et  
275 al., 2005; Deouell, Heller, Malach, D'Esposito, & Knight, 2007; Dreyer, Valente, de Gelder, &  
276 Formisano, 2015; McLaughlin, Higgins, & Stecker, 2016; Van der Zwaag, Gentile, Gruetter,  
277 Spierer, & Clarke, 2011; Warren & Griffiths, 2003).

278 In general, the decoder – a modified version of a pattern decoder introduced to decode sensory  
279 information from neural spike rate patterns (Jazayeri & Movshon, 2006; see also Day &  
280 Delgutte, 2013; Miller & Recanzone, 2009) – computes the log-likelihood that a sound at a given

281 azimuth location elicited the observed fMRI activity pattern. In particular, we computed for each  
 282 voxel the log-likelihood that a stimulus at a particular azimuth location induced the observed  
 283 BOLD response. The population log-likelihood then consists of the sum of the log-likelihoods  
 284 across all voxels (Figure 2).

285 Specifically, for each cortical area, we selected those voxels that responded to sounds (GLM  
 286 *sound > baseline*,  $p < 0.005$  uncorrected) and exhibited a spatially selective response (see  
 287 section before). Next, we estimated for each subject a GLM per functional data run with one  
 288 predictor per azimuth position per task. This resulted in four beta estimates per azimuth  
 289 position, equivalent to the four functional runs. Beta estimates were normalized between 0 and  
 290 1 across the seven azimuth positions within each run. For each stimulus azimuth position, we  
 291 then computed the log-likelihood that the observed BOLD response ( $\beta_i$ ) in the voxel under  
 292 consideration was elicited by the presentation of a sound at that location. Assuming that the  
 293 observed BOLD response  $\beta_i$  of voxel  $i$  for a given azimuth position  $\theta_0$  is normally distributed  
 294 with mean  $\mu_{0,i}$  and standard deviation  $\sigma_{0,i}$ , the log-likelihood of the observation can be  
 295 computed as:

$$\log L_i(\theta_0) = \frac{(\beta_i - \mu_{0,i})^2}{2\sigma_{0,i}^2} - \frac{1}{2} \log(\sigma_{0,i}^2) - \frac{1}{2} \log(2\pi)$$

296 The estimation was carried out using cross-validation: we considered three runs to estimate the  
 297 mean  $\mu_{0,i}$  and standard deviation  $\sigma_{0,i}$  of a given voxel and azimuth position, and we used the  
 298 left-out run to calculate the log-likelihood. The procedure was repeated for all the possible train-  
 299 test combinations. Due to the limited amount of available data (one trial per run), the estimation  
 300 of the parameters was done using the beta values of the selected voxel, as well as the six  
 301 neighboring voxels, that is, those voxels sharing a side with the relevant voxel. Consequently,  
 302 the number of data points to estimate  $\mu_{0,i}$  and  $\sigma_{0,i}$  was 21 (three functional runs multiplied with  
 303 seven voxels). The test data  $\beta_i$  is the beta estimate for this voxel for this azimuth position in the

304 run that was left out. Assuming conditional (i.e. within each azimuth position) independence  
305 between different voxels, the population response was then computed as the sum of log  
306 likelihood of all voxels in the cortical area ( $N$ ):

$$\log L(\theta_0) = \sum_{i=1}^N \log L_i(\theta_0)$$

308  
309 In the test run, we predicted the sound azimuth location of a new, unseen sound, by selecting  
310 the location with the highest log-likelihood. This is equivalent to using a probabilistic classifier  
311 based on the posterior probability of azimuth location given the observed data, when class prior  
312 is uniform across all sound locations. Reported absolute errors are the average across the four  
313 train-test estimations. Statistical comparisons of absolute error across cortical areas and tasks  
314 were made with Wilcoxon signed-rank tests (one-tailed) and corrected for multiple comparisons  
315 with the false discovery rate ( $q[\text{FDR}] < 0.05$ ) unless mentioned differently. We determined the  
316 chance level of absolute error per azimuth position with permutation testing. Specifically, within  
317 each run we permuted beta estimates randomly across the seven azimuth locations and for all  
318 voxels independently. We then applied the maximum likelihood decoder to the permuted data.  
319 This procedure was repeated 1500 times per subject. Chance level of absolute error was  
320 computed as the mean absolute error across permutations.

321 Finally, we applied the population pattern decoder to data from both hemispheres  
322 simultaneously. In particular, we randomly sampled half of the voxels in the left hemisphere and  
323 half of the voxels in the right hemisphere. This procedure ensured that the number of data  
324 points used for the maximum likelihood estimation was equal when the decoder operated on  
325 data from two hemispheres versus data from a single hemisphere. We repeated the random  
326 sampling procedure 200 times per subject and computed absolute error as the average across

327 samples. To determine the chance level for the population decoder operating on data from the  
328 two hemispheres, we applied a similar permutation procedure as described above. However,  
329 due to the interaction of the computationally intensive procedure of repeating the random  
330 sampling of half of the voxels in each hemisphere as well as the permutations, we limited the  
331 calculation to 30 random samples with 10 permutations each. Chance level of absolute error  
332 was computed as the average absolute error across samples and permutations.

### 333 Parcellation of the auditory cortex

334 To divide the auditory cortex into core, belt regions, and PT, we combined maps of frequency  
335 preference (tonotopy) and frequency selectivity. To construct these maps, we first estimated a  
336 voxel's frequency tuning profile by estimating GLM with one predictor per center frequency for  
337 each auditory active voxel (assessed with a GLM contrasting *auditory stimulation > baseline*,  
338 liberal threshold of  $p < 0.05$  uncorrected). We inferred a voxel's preferred frequency (PF) from  
339 the frequency tuning profile. That is, a voxel's PF was defined as the frequency with the highest  
340 beta value in the tuning profile (after z-normalizing across voxels). We then created tonotopic  
341 maps on the cortical surface by color coding the PF of all auditory responsive voxels in a blue  
342 (high frequency) to red (low frequency) color scale.

343 Next we estimated the frequency selectivity of a voxel by computing a *Frequency Selectivity*  
344 *Index* (FSI). This index expresses the ratio between the peak beta value (that is, the beta  
345 corresponding to the PF) and the area under the frequency tuning curve (the integral):

$$FSI = \frac{\int \text{frequency tuning curve}}{\beta_{PF}}$$

346 Then – similar to (Moerel, De Martino, & Formisano, 2012) – we defined the tuning width (TW)  
347 of a voxel as:

$$348 \quad TW = PF / f_2 - f_1$$

349 where  $(f_2-f_1)$  is the frequency range in Hz corresponding to the FSI. As such, TW is high for  
350 voxels with a narrow tuning profile and small for voxels with a broad tuning profile. We color  
351 coded TW on the cortical sheet in a yellow (broad tuning) to purple (narrow tuning) color scale.

352 Finally, we used these maps to parcellate the auditory cortex following criteria based on the  
353 tonotopic organization described by Moerel et al. (2012); Figure 3). Specifically, Moerel et al.  
354 (2012) identify the core region as a region overlapping with HG that is narrowly tuned to  
355 frequency and encompasses two mirror-symmetric tonotopic gradients (see also Formisano et  
356 al., 2003; Leaver & Rauschecker, 2016; Moerel, De Martino, & Formisano, 2014). This core  
357 region is flanked by broadly tuned regions both anteriorly (overlapping with the first transverse  
358 sulcus and planum polare in general), and posteriorly (coinciding with Heschl's sulcus [HS]).  
359 Here we defined these broadly tuned bands as the rostral and caudal belt respectively (Figure  
360 3). We then evenly divided both the caudal and the rostral belt into medial and lateral parts,  
361 resulting in four belt areas: caudomedial (CM), caudolateral (CL), rostromedial (RM), and  
362 rostromedial (RL; Kaas & Hackett, 2000; Rauschecker, Tian, & Hauser, 1995). Finally, in line  
363 with Moerel et al. (2012) and the anatomical definition of PT provided by Kim et al. (2000), we  
364 defined the remaining posterior part of the superior temporal plane as PT. This region was  
365 bordered anteriorly by the caudal belt (overlapping largely with HS), medially by the insular  
366 cortex, and laterally by the superior temporal gyrus (STG).

367 Note that two participants did not show extensive activation in the auditory cortex for the  
368 contrast *auditory stimulation > baseline* as a result of excessive movement during the tonotopy  
369 measurements (possibly due to participant fatigue). We parcellated the auditory cortex of these  
370 two participants based on anatomical criteria, resulting in areas that were similar in size and  
371 location to those of the other participants. Specifically, the core region was identified as  
372 approximately two-thirds of HG (starting from the medial border; Moerel et al., 2012, 2014). The  
373 caudal belt was defined by HS, bordered posteriorly by PT (Kim et al., 2000). The rostral belt

374 was defined as anteriorly to HG – mainly overlapping with the first transverse sulcus – as the  
375 mirror image of the caudal belt. The rostral and caudal belt regions were evenly split into a  
376 lateral and medial part.

377 Maps of cortical auditory areas constructed in surface space were projected back into volume  
378 space. In subsequent analyses, we included for each area the voxels that responded to sounds  
379 (established with a GLM, contrast *auditory stimulation* > *baseline*, liberal threshold of  $p < 0.005$   
380 uncorrected; see Table S1).

## 381 **RESULTS**

### 382 **Behavioral task performance**

383 Behavioral accuracy in the MRI scanner was high for both active tasks. The average hit rate for  
384 the sound localization task was 94.3% (standard deviation [SD]: 15.2%), and for the sound  
385 identification task 90.9% (SD: 12.6%). There was no difference in mean accuracy between  
386 tasks (paired samples t-test,  $t(10) = 0.607$ ,  $p = 0.557$ ).

### 387 **Univariate analysis of the processing of spatialized sounds in human auditory cortex**

388 A random effects general linear model (RFX GLM) contrasting *auditory stimulation* > *baseline*  
389 showed increases in BOLD signal in primary and secondary auditory cortices in response to the  
390 probe trials (corrected for multiple comparisons with the False Discovery Rate [FDR],  $q < 0.05$ ;  
391 (Benjamini & Hochberg, 1995). Activated areas included Heschl's gyrus (HG), HS, planum  
392 temporale (PT), and – to a lesser extent – the first transverse sulcus and other parts of the  
393 planum polare (PP). To investigate differences in the overall level of activation elicited by the  
394 three task conditions, we computed several balanced contrast maps. However, none of these  
395 contrasts revealed different activation levels between task conditions, either at a stringent

396 threshold (FDR,  $q < 0.05$ ) or at a more liberal threshold ( $p < 0.005$  uncorrected), indicating that  
397 the overall BOLD signal amplitude in the auditory cortex was similar across tasks.

### 398 **Parcellating the human auditory cortex**

399 In agreement with prior tonotopic mapping studies (e.g. Da Costa et al., 2011; Formisano et al.,  
400 2003; Leaver & Rauschecker, 2016; Moerel et al., 2012; Striem-Amit, Hertz, & Amedi, 2011;  
401 Talavage et al., 2004; Wessinger et al., 2001), cortical maps of frequency preference revealed a  
402 region tuned to low frequencies overlapping partly with HG which was bordered anterolaterally  
403 and posteromedially by regions responding maximally to high frequencies (Figure 3). Further,  
404 similar to Moerel et al. (2012) we observed a narrowly tuned region overlapping with (or in close  
405 vicinity to) HG in the frequency selectivity maps of most participants. This region was flanked by  
406 areas with broad frequency selectivity profiles (Figure 3). We combined these maps of  
407 frequency preference and selectivity and derived an operational definition of the core region, the  
408 belt regions (see Rauschecker et al., 1995) for original definitions in macaque auditory cortex),  
409 and planum temporale (PT; Figure 3; Table 1; see also *Methods*).

### 410 **Spatial selectivity in human auditory cortex is higher in posterior, higher-order regions** 411 **than in primary regions**

412 To start, we examined general differences in the presence of spatially selective voxels between  
413 cortical areas, i.e. inter-area differences irrespective of behavioral demands. The results show  
414 that the average proportion of auditory responsive voxels that was spatially selective (averaged  
415 across task conditions) varied across cortical regions in the left hemisphere (Figure 4 A, left  
416 panel), as well as in the right hemisphere (Figure 4 A, right panel). In particular, in the left  
417 hemisphere, PT contained relatively more spatially selective voxels than the core, CM, and CL  
418 (Table 3). The proportion of selective voxels was also higher in left CL than in the left core. In

419 the right hemisphere, PT contained a higher proportion of selective voxels than the core and CL  
420 as well, and the proportion of spatially selective voxels was higher in CM than in CL.

421 We also assessed spatial selectivity by investigating the relative tuning width of spatially  
422 selective voxels within an area. For this measure of spatial selectivity, we observed an anterior  
423 to posterior (rostral-to-caudal) increase of spatial selectivity as well, both in the left hemisphere  
424 and right hemisphere (Table 2; Figure 4 B). Specifically, in the left hemisphere, spatial tuning  
425 width was broader in the core than in PT, CM, and CL. Finally, spatial tuning width was  
426 narrower in PT than in CL (Table 3; Figure 4 B left panel). In the right hemisphere, there was  
427 also a difference in spatial tuning width between PT and the core. However, in this hemisphere  
428 spatial tuning was sharpest in CM: there was a significant difference between CM and the core ,  
429 and between CM and CL (Table 3; Figure 4 B right panel).

430 Next, we investigated cortical inter-area differences in spatial selectivity per behavioral task  
431 condition. This revealed that there were differences in the proportion of spatially selective voxels  
432 across areas in all behavioral conditions (Table 2). Specifically, post-hoc comparisons revealed  
433 that the rostral-to-caudal increase in the proportion of spatially selective voxels was present in  
434 all behavioral conditions in the left hemisphere. That is in each condition, there were more  
435 spatially selective voxels in PT than in the core and in CM. Further, in the *passive listening* and  
436 *sound identification* conditions – but not in the sound localization condition – there were more  
437 spatially selective voxels in PT than in CL. In the right hemisphere, we observed significant  
438 inter-area differences in the proportion of spatially selective voxels in the *sound identification*  
439 condition only. Similar trends were present for the *passive listening* and *sound localization*  
440 conditions, but these just failed to reach statistical significance (Table 2). Post-hoc pairwise  
441 comparisons for the *sound identification* condition (Table 3) indicate that there are significantly  
442 more spatially selective voxels in PT as well as in CM, compared to the core region (see also  
443 Figure 5).

444 We also observed inter-area differences in relative tuning width per behavioral task condition in  
445 the left hemisphere. That is, there were significant inter-area differences in all behavioral  
446 conditions (Table 2), and in all conditions spatial tuning was sharper in PT than in the core  
447 region (see results of post-hoc pairwise comparisons in Table 3). In addition, spatial tuning in  
448 PT was sharper than CL in the *passive listening* and *sound identification* condition. Spatial  
449 tuning was also sharper in CL than in the core during the *passive listening* and *sound*  
450 *localization* condition. In the right hemisphere, we observed inter-area differences in the *passive*  
451 *listening* and *sound localization* condition (a similar pattern was observed in the *sound*  
452 *identification* condition, but this just failed to reach statistical significance; Table 2). Post-hoc  
453 pairwise comparisons show that during *passive listening*, spatial tuning was sharper in PT than  
454 in the core region. In addition, spatial tuning was sharper in CM than in either the core region  
455 and CL. Also during active *sound localization*, spatial tuning in CM was sharper than in the core  
456 and CL, and even PT (Table 3, see also Figure 5).

#### 457 **Task-modulations of spatial selectivity within cortical auditory regions**

458 We then examined, for each cortical area, the effect of task performance on spatial selectivity.  
459 There were no differences in the proportion of auditory responsive voxels that were spatially  
460 selective across task conditions: none of the cortical regions showed an increase or decrease in  
461 the proportion of spatially selective voxels based on task performance (one-tailed Wilcoxon  
462 signed-rank tests, all  $p > 0.05$ , Figure 5 A). However, spatial tuning was sharper in the  
463 localization condition compared to the sound identification condition in the left core region  
464 (median identification condition =  $108.8^\circ$ , median localization condition =  $104.5^\circ$ , one-tailed  
465 Wilcoxon signed-rank test,  $p = 0.001$ ,  $q[\text{FDR}] < 0.05$ ), and in right CM (median identification  
466 condition =  $91.2^\circ$ , median localization condition =  $85.0^\circ$ ,  $p = 0.003$ ,  $q[\text{FDR}] < 0.05$ ; Figure 5 B).  
467 Figure 5C shows the population RAFs, which also reflect the sharpening of spatial selectivity in  
468 the left core and right CM during active sound localization.

469 Next, we investigated the mechanism underlying the observed sharpening of spatial tuning in  
470 the left core and right CM during the sound localization condition. Specifically, we evaluated  
471 whether the change in spatial tuning between the two active task conditions resulted from  
472 response gain (that is, an increase of the BOLD response amplitude at the voxel's preferred  
473 location), response sharpening (a decrease of the BOLD response at the voxel's non-preferred  
474 location), or a combination of these processes. For this comparison, we defined the voxel's  
475 preferred location as the sound azimuth location with the maximum beta value in the task-  
476 independent RAF (i.e. the average RAF across the two active task conditions). Similarly, we  
477 defined the non-preferred location as the sound azimuth location with the minimum beta value in  
478 the average RAF (see also Lee & Middlebrooks, 2011, 2013).

479 In both cortical areas, the BOLD response at the preferred location was similar for the two active  
480 task conditions, while the BOLD response at non-preferred locations was lower in the sound  
481 localization than in the sound identification condition. Specifically, Figure 6 shows that the beta  
482 values for the preferred location were similar for both active task conditions (reflected by the  
483 clustering of beta values around the diagonal; median beta left core in sound identification  
484 [sound localization] condition = 0.39 [0.40]; median beta right CM in sound identification [sound  
485 localization] condition = 0.27 [0.30]; Wilcoxon signed-rank tests for differences between task  
486 conditions,  $p > 0.05$ ). In contrast, the BOLD response at non-preferred locations was lower in  
487 the sound localization than in the sound identification condition (most beta values are below the  
488 diagonal; median beta left core in sound identification [sound localization] condition = 0.13 [-  
489 0.04]; median beta right CM in sound identification [sound localization] condition = 0.04 [-0.11];  
490 Wilcoxon signed-rank tests; left core:  $p = 0.002$ ; right CM:  $p = 0.014$ ;  $q[\text{FDR}] < 0.05$ ). Thus,  
491 sharpening of spatial tuning during active sound localization was mainly the result of a decrease  
492 of BOLD signal amplitude at non-preferred locations, that is, response sharpening.

### 493 **Decoding sound azimuth location from fMRI population activity patterns**

494 Next we evaluated whether the encoding of sound azimuth in fMRI activity patterns in the core  
495 and in PT varies with behavioral task requirements. Specifically, we applied a population-pattern  
496 decoder based on maximum likelihood estimation (MLE) to the measured fMRI responses to the  
497 probe sounds in the sound identification and sound localization condition (see *Methods*). Figure  
498 7 shows for each cortical area and task condition the absolute error of the population pattern  
499 decoder as a function of sound azimuth location. There was no difference in decoding  
500 performance between ipsi- and contralateral locations: a comparison of the average absolute  
501 error between hemifields (i.e. the average absolute error across  $-30^\circ$ ,  $-60^\circ$ , and  $-90^\circ$ , versus the  
502 average across  $+30^\circ$ ,  $+60^\circ$ , and  $+90^\circ$ ) did not yield significant results either for the core or for  
503 PT, in any behavioral task condition (two-sided Wilcoxon signed-rank test per area and task  
504 condition, FDR corrected for multiple comparisons, all  $q[\text{FDR}] > 0.05$ ).

505 For the purpose of statistical comparisons between cortical areas and behavioral task  
506 conditions, we computed the average absolute error across azimuth positions for each area and  
507 task condition. Figure 7 B shows that the population pattern decoder performed better than  
508 chance level in the left and right core in the sound localization condition. That is, in these areas  
509 and task conditions the absolute error was significantly lower than chance (one-sided Wilcoxon  
510 signed-rank test, FDR corrected for multiple corrections; median absolute error sound  
511 localization condition left core =  $61.1^\circ$ , right core =  $62.1^\circ$ , chance error =  $68.6^\circ$ ,  $p = 0.009$  for  
512 both regions,  $q[\text{FDR}] < 0.05$ ). Chance level was computed with a permutation testing procedure  
513 in which we randomly scrambled the RAFs of each participant (1500 iterations). In left PT, the  
514 pattern decoder also performed better than chance in the localization condition (median  
515 absolute error left PT =  $58.9^\circ$ ,  $p = 9.8\text{E-}4$ ,  $q[\text{FDR}] < 0.05$ ). Similarly, in right PT the pattern  
516 decoder performed marginally better than chance in the localization condition (median absolute  
517 error right PT =  $60.0^\circ$ ,  $p = 0.051$ ,  $q[\text{FDR}] = 0.076$ ). However, in the sound identification condition  
518 the absolute error was larger than chance level in all cortical areas (median absolute error for

519 the sound identification condition per area: left core = 75.0°, right core = 66.4°, left PT = 70.7°,  
520 right PT = 71.8°,  $p > 0.05$ ; Figure 7 B), indicating that the pattern decoder did not perform well  
521 for this behavioral condition.

522 We then tested for differences in sound location decoding performance for the probe sounds  
523 between task conditions, within each cortical area. This showed that the pattern decoder  
524 performed significantly better in the sound localization than in the sound identification condition  
525 in the left core region – that is, the absolute error was significantly lower (one-sided Wilcoxon  
526 signed-rank test, FDR-corrected for multiple comparisons;  $p = 0.003$ ,  $q[\text{FDR}] < 0.05$ ; Figure 7  
527 B). In left PT we observed a similar task effect, but this did not reach statistical significance ( $p =$   
528  $0.04$ ,  $q[\text{FDR}] = 0.1$ ). Figure 7 A shows that the absolute error decreased especially at the  
529 midline and in contralateral space ( $0^\circ$  to  $+90^\circ$ ) for both the core and PT in the left hemisphere.  
530 There was no significant effect of task in the right core or in right PT ( $p > 0.05$ ; Figure 7). For the  
531 right core, this may be a consequence of the relatively high performance of the pattern decoder  
532 in the sound identification condition. In particular, sound azimuth location estimates were  
533 significantly more accurate in the right, than in the left core in the sound identification condition  
534 (two-sided Wilcoxon signed-rank test;  $p = 0.022$ ,  $q[\text{FDR}] < .05$ ), but not in the sound localization  
535 condition ( $p > 0.05$ ; Figure 7 B),

536 We also tested for each task condition whether there was a difference in decoding accuracy  
537 between cortical areas. In the left hemisphere, the absolute error was lower in PT than in the  
538 core region in the sound identification condition ( $p = 0.0098$ ,  $q[\text{FDR}] < 0.05$ ) but not in the sound  
539 localization condition ( $p > 0.05$ ). Figure 7 A shows that the inter-area difference in the sound  
540 identification condition was mainly a result of lower absolute errors in PT in peripheral space. In  
541 the right hemisphere, there was no significant difference between the core and PT either in the  
542 sound identification condition ( $p > 0.05$ ) or in the sound localization condition ( $p > 0.05$ ). Note  
543 that the lower absolute error observed in left PT was not a consequence of a larger number of

544 voxels in this cortical region: the inter-area effect persisted even if the number of voxels in PT  
545 included in the analysis was matched to the number of voxels in the core region (see *Methods*  
546 and Figure 7 C).

547 Finally, we applied the maximum-likelihood decoder to the fMRI activity patterns of the left and  
548 right hemisphere together: we provided the data of both hemispheres combined as input for the  
549 pattern decoder. Note that to ensure that the number of voxels on which the pattern decoder  
550 operates does not influence the sound location estimates, we randomly sampled half of the  
551 voxels in the relevant region within a hemisphere and combined this with a random sample of  
552 half of the voxels in the other hemisphere. This procedure was repeated 200 times, and we  
553 computed the absolute error of the two-hemisphere decoder as the average absolute error  
554 across those 200 iterations.

555 Figure 8 shows that combining the activity patterns in the two hemispheres resulted in lower  
556 absolute errors when decoding azimuth position for probe sounds in the sound identification, but  
557 not for probe sounds in the sound localization condition. Specifically, absolute error scores were  
558 lower than chance level in the sound identification condition in both the core and in PT (median  
559 absolute error core = 62.4°, median absolute error PT = 59.3°, chance error = 68.8°,  $p = 0.03$   
560 and  $p = 0.009$  respectively,  $q[\text{FDR}] < 0.05$ ). In addition, the absolute error in PT was lower for  
561 the combined data than for either the left PT only ( $p = 0.016$ ,  $q[\text{FDR}] < 0.05$ ), or the right PT  
562 only ( $p = 0.003$ ,  $q[\text{FDR}] < 0.05$ ). Inspecting absolute error as a function of sound azimuth  
563 location (Figure 8 A), shows that combining the data of left and right PT resulted in lower  
564 absolute error scores mainly in the periphery (-90°, -60°, +60°, and +90°). In contrast, for the  
565 core the combination of the data of the left and right hemisphere resulted in more accurate  
566 azimuth estimates in comparison to the left core ( $p = 0.002$ ), but not in comparison to the right  
567 core ( $p > 0.05$ ). Further, the absolute error as a function of sound azimuth position (Figure 8 A)  
568 shows that the absolute errors resulting from the combined data were similar to those resulting

569 from the decoder operating on the right core only. This indicates that the azimuth estimates  
570 resulting from the pattern decoder operating on the core in two hemispheres are driven by the  
571 activity patterns in the right core, rather than showing an improvement larger than the available  
572 information in either core.

## 573 **DISCUSSION**

574 The major findings of the present study are that spatial selectivity of the left primary auditory  
575 core cortex and right area CM are dynamic and dependent on behavioral requirements, that  
576 fMRI activity patterns in the left core carry more information on sound azimuth location when  
577 participants engage in a sound-localization task (in comparison to a task unrelated to sound  
578 localization), and that integrating fMRI activity patterns measured during a ‘what’ task – but not  
579 during a ‘where’ task – across bilateral PT results in more accurate sound azimuth location  
580 estimates than in either left or right PT separately. Together, these results highlight the adaptive  
581 potential of spatial tuning in the primary auditory cortex based on behavioral demands. A  
582 possible mechanism for the observed task-modulation of spatial sensitivity in primary auditory  
583 cortex is the feedback from functionally specialized regions (planum temporale) to this cortical  
584 area. Specifically, such feedback connections from higher-order to primary regions may be  
585 modulated by behavioral requirements to enable dynamic spatial sensitivity in the latter. Finally,  
586 these findings provide new insights into models of sound location encoding in unilateral and  
587 bilateral human auditory cortex.

### 588 **Dynamic spatial tuning in human auditory cortex**

589 Posterior auditory cortical regions are thought to be part of a functionally specialized stream for  
590 sound location processing in animals (Harrington et al., 2008; Lomber & Malhotra, 2008;  
591 Stecker et al., 2005; Stecker & Middlebrooks, 2003; Tian et al., 2001) and humans (Ahveninen  
592 et al., 2006; Alain, Arnott, Hevenor, Graham, & Grady, 2001; Arnott et al., 2004; Brunetti et al.,

593 2005; Deouell et al., 2007; Deroy et al., 2015). While we replicate these inter-area differences in  
594 spatial selectivity between primary core and higher-order areas – and specifically the advantage  
595 of caudal belt regions – that have been reported previously for passive listening or non-spatial  
596 task-conditions, we also show that these differences are reduced in the left core and right CM  
597 when humans engage in an active sound localization task. Thus, our findings indicate that,  
598 depending on the behavioral requirements, primary auditory areas may contribute to sound  
599 location processing as well.

600 Such task-dependent modulations of spatial sensitivity have not previously been observed in  
601 humans. Zimmer and Macaluso (2005) reported a relationship between the level of activity in  
602 posterior auditory regions and successful sound localization, but did not investigate cortical  
603 spatial selectivity. Further, a recent neuroimaging study in humans did not report a modulation  
604 of either ILD or ITD selectivity based on task-performance (Higgins et al., 2017). Yet, in the  
605 latter study, the authors considered binaural cue response functions averaged across all  
606 auditory responsive voxels within the auditory cortex, which may have diluted the results. That  
607 is, our analyses show that task modulations of spatial selectivity are localized specifically in the  
608 left core and right CM.

609 Our findings in human auditory cortex are compatible with animal studies showing that the  
610 performance of both spatial and non-spatial tasks affects neuronal receptive fields in primary  
611 auditory cortex (Fritz, Shamma, Elhilali, & Klein, 2003; Lee & Middlebrooks, 2011; Otazu, Tai,  
612 Yang, & Zador, 2009). One hypothesis is that higher-order, functionally specialized cortical  
613 areas such as PT modulate spatial tuning in primary auditory cortex via back-projections. In  
614 particular, our data are compatible with theoretical frameworks of sensory processing such as  
615 the “reverse hierarchy” (Ahissar et al., 2009) and recurrent processing models (Bullier, 2001;  
616 Lamme & Roelfsema, 2000). Similar to visual cortex, the auditory cortex is characterized by  
617 dense reciprocal connections between primary and higher-order cortical areas (Kaas & Hackett,

618 2000; Lee & Winer, 2011). Lateral prefrontal cortex (PFC) may mediate such feedback  
619 processing: lateral PFC is known to project back to early regions of the lateral auditory belt  
620 (Romanski et al., 1999) and has been implicated in a two-stage model of categorization of  
621 sounds (Jiang, Chevillet, Rauschecker, & Riesenhuber, 2018).

## 622 **Differences in sound location processing between the left and right auditory pathway**

623 In humans, lesion and functional imaging studies suggest that the right (sub)cortical pathway  
624 may contain a representation of the entire acoustic azimuth, while in the left (sub)cortical  
625 pathway the representation of the contralateral acoustic azimuth is thought to be pre-dominant  
626 (e.g. Briley, Kitterick, & Summerfield, 2013; Higgins et al., 2017; Krumbholz et al., 2005; Spierer,  
627 Bellmann-Thiran, Maeder, Murray, & Clarke, 2009; Zatorre & Penhune, 2001). Differential  
628 spatial processing between the left and right auditory pathway has also been observed in  
629 several animal species. For instance, Day and Delgutte (2013) observed in rabbit inferior  
630 colliculus a gradient of deteriorating sound location decoding accuracy from locations at the  
631 midline towards the periphery. In contrast, in monkeys, Miller and Recanzone (2009) observed  
632 in area A1 and CL most accurate sound location decoding results in contralateral space, with  
633 low decoding accuracies at the midline and especially in ipsilateral space: the magnitude of  
634 sound location estimation errors in the ipsilateral hemifield and around the midline was distinctly  
635 higher than the errors observed in the present study. Only in area R were decoding errors lower  
636 around the midline than in either ipsi- or contralateral space. Here we did not observe a  
637 difference in location decoding accuracy between ipsi- and contralateral space either for the left  
638 or right auditory cortex. Yet, our results did reflect sharper spatial tuning in the right than left  
639 core when the task was unrelated to sound location (the 'what' task), which may be a reflection  
640 of the hypothesized right dominance for human spatial hearing. Future research with non-  
641 invasive lesion techniques in humans combined with advanced neuroimaging and

642 computational modeling studies is required to elucidate these potential differences between the  
643 left and right human auditory pathway.

#### 644 **Integrating information on sound azimuth location across hemispheres**

645 Our results show that the integration of sound location processing across the two hemispheres  
646 may be task-dependent. Specifically, location estimates based on fMRI activity patterns in  
647 bilateral PT were more accurate than those based on either left or right PT independently for the  
648 task condition unrelated to sound localization ('what' task), while, this bilateral advantage was  
649 not present during active localization ('where' task). For the core region, we also observed a  
650 bilateral advantage for the 'what' task compared to the left core separately, but not for the right  
651 core. This suggests that the bilateral advantage, is merely a reflection of the more accurate  
652 decoding obtained for the right core in itself. Similar to PT, no bilateral decoding improvement  
653 was observed during active sound localization for the core region. Thus, fMRI activity patterns in  
654 left and right PT - and possibly in the left and right core - contain complementary information on  
655 sound azimuth location when participants are not engaged in active sound localization, resulting  
656 in better location estimates when the information in the two hemispheres is combined. In  
657 contrast, information in the two hemispheres appears to be overlapping during active sound  
658 localization, such that combining the information across the hemispheres appears to be  
659 redundant during this behavioral condition.

660 This may be explained by a task-dependent strength of functional callosal connections. In  
661 particular, in macaques there are major interhemispheric connections both between the left and  
662 right core, and between left and right parabelt (Kaas & Hackett, 2000). If similar callosal  
663 connections between bilateral primary and higher order auditory cortices exist in humans, it is  
664 conceivable that during active sound localization the functional connectivity between left and  
665 right PT increases compared to during non-localization tasks. As a consequence, spatial

666 processing in left PT may modulate spatial processing in right PT during active localization (and  
667 vice versa), while spatial information in left and right PT is relatively independent – and thus  
668 complementary – during non-spatial tasks. Alternatively, corticofugal projections (e.g. Winer &  
669 Schreiner, 2005) may strengthen during active sound localization, and thereby indirectly  
670 modulate sound location processing in the contralateral hemisphere.

671 The observed task-dependency of bilateral integration of information is also of interest for the  
672 ongoing debate about the computational mechanisms underlying sound location processing in  
673 mammals. In particular, models for neural population coding of sound azimuth location have  
674 received wide attention in recent years, including population coding within a single hemisphere  
675 (unilateral population coding, e.g. Day & Delgutte, 2013; Miller & Recanzone, 2009), *unilateral*  
676 *opponent population coding* based on two oppositely tuned channels within a single hemisphere  
677 (i.e. an ipsi- and a contralaterally tuned channel, Stecker et al., 2005), and *bilateral opponent*  
678 population coding based on combining the sound azimuth information of contralaterally tuned  
679 channels in each hemisphere (e.g. Derey et al., 2015; McAlpine et al., 2001; Ortiz-Rios et al.,  
680 2017). Our current results suggest that the degree to which information is combined across  
681 hemispheres may be dependent on behavioral requirements, indicating that unilateral and  
682 bilateral models of sound location encoding may not be mutually exclusive.

683

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823

824 **FIGURE LEGENDS**

825 **Figure 1. Stimuli.** (A) Azimuth locations at which sound sources were presented. (B) Example  
826 of a probe trial (top), a target trial for the sound localization task (middle), and a target trial for  
827 the sound identification task (bottom). A probe trial consisted of a block of five stimulus  
828 presentations at one azimuth location. In the sound localization task, the target trial consisted of  
829 five stimulus presentations as well, yet for the fourth (depicted here) or fifth repetition the  
830 azimuth location was changed. For target trials in the sound identification condition, azimuth  
831 location remained constant across the five stimulus repetitions but the fourth or fifth repetition  
832 was replaced by a deviant click train. (C) Lines reflect the interaural time difference (ITD; left)  
833 and interaural level difference (ILD; right) for stimuli at a specific sound azimuth position,  
834 averaged across the binaural recordings of all participants. ILD was computed as the arithmetic  
835 difference in power (measured as root mean square [RMS]) between the left and right channel  
836 of each binaural recording. To compute ITD, we first computed the interaural phase difference  
837 (IPD) which we subsequently converted to time differences. (D) Plotted is the power spectrum of  
838 the left channel of the binaural recordings (i.e. the left ear) at specific azimuth positions,  
839 averaged across all participants. The difference in power in specific frequency bands dependent  
840 on sound azimuth location illustrates the availability of spectral cues in the recordings. Colors  
841 similar to (C).

842 **Figure 2. Estimating sound azimuth location with a maximum-likelihood population**  
843 **pattern decoder.** Small graphs show the log-likelihood function for each voxel for a given  
844 sound azimuth location (rows), with the fMRI response strength (beta value) on the-x axis, and  
845 the log-likelihood on the y-axis. Large graph on the right shows the resulting population log-  
846 likelihood function, which is the sum of the log-likelihood functions of the individual voxels at  
847 each location.

848 **Figure 3. Parcellation of the human auditory cortex.** (A) The figure shows an enlarged view  
849 of the superior temporal plane in the right hemisphere, with a schematic overview of the  
850 parcellation used in the present study overlaid on top. (B) Figures show the left and right  
851 superior temporal plane of a representative participant with the group map of frequency  
852 preference overlaid (top row; warm colors indicate a maximum response to low frequencies,  
853 cold colors to high frequencies), and frequency selectivity (bottom row; orange to green colors  
854 indicate broad tuning, blue to purple colors indicate progressively sharper tuning. (C) Similar to  
855 (A) but for a single representative participant.

856 **Figure 4. Spatial selectivity across auditory cortical areas in humans.** (A) Box-plots show,  
857 for each cortical area, the distribution of the proportion of spatially selective voxels across  
858 participants (averaged across task conditions). (B) Box-plots reflect the distribution of relative  
859 spatial tuning width (ERRF width, averaged across task conditions) across participants. The  
860 central circle of a box indicates the median of the distribution, the edges the 25<sup>th</sup> and 75<sup>th</sup>  
861 percentiles, and lines the full range of values. Circles represent outliers. Horizontal lines indicate  
862 a significant difference between areas at  $p < 0.05$ , FDR corrected for multiple comparisons at  $q$   
863  $< 0.05$ . CM = caudo-medial region. CL = caudo-lateral region. PT = planum temporale.

864 **Figure 5. Task modulations of spatial selectivity in human auditory cortex.** (A) Box-plots  
865 show for each task condition the distribution of the proportion of voxels that exhibit a spatially  
866 selective response across participants. Black boxes indicate the passive listening condition, red  
867 boxes the sound identification condition, and blue boxes the sound localization condition. (B)  
868 Box-plots reflect the distribution of relative spatial tuning width (ERRF width) across participants  
869 for each area and task condition. Colors similar to (A). The central circle of a box indicates the  
870 median of the distribution, the edges the 25<sup>th</sup> and 75<sup>th</sup> percentiles, and lines the full range of  
871 values. Circles represent outliers. Horizontal lines indicate a significant difference between  
872 areas at  $p < 0.05$ , FDR corrected for multiple comparisons at  $q < 0.05$ . (C) Population RAFs are

873 plotted for the spatially selective voxels within an area for the two active task conditions. RAFs  
874 are averaged across participants, blue lines indicate the sound identification condition, red lines  
875 the sound localization condition. CM = caudo-medial region. CL = caudo-lateral region. PT =  
876 planum temporale.

877 **Figure 6. Sharper spatial selectivity during active sound localization is a result of**  
878 **response sharpening.** Scatterplots show for each participant the average beta value across  
879 voxels that exhibited sharper spatial selectivity (i.e. a decrease in ERRF width of 15% or more)  
880 during the sound localization condition (y-axis) than sound identification condition (x-axis). at the  
881 preferred (filled circles) and non-preferred location (open circles) for the left core region (left  
882 panel) and right CM (right panel). Circles below the diagonal reference line reflect a decrease in  
883 beta value in the sound localization condition.

884 **Figure 7. Decoding sound azimuth from population pattern activity in the core region and**  
885 **PT during a sound identification ('what') and a sound localization ('where') task.** (A) Lines  
886 reflect the average absolute error of the sound azimuth estimate resulting from the population  
887 pattern decoder (y axis) as a function of actual sound azimuth (x axis) for a particular cortical  
888 area and task condition. Light blue lines: core region during sound identification task. Dark blue  
889 lines: core region during sound localization task. Light green lines: PT during sound  
890 identification task. Dark green lines: PT during sound localization task. Error bars reflect the  
891 standard error of the mean (SEM). (B) Box-plots of the absolute error of the sound azimuth  
892 estimates averaged across the seven sound azimuth positions. Colors similar to (A). Horizontal  
893 black lines at the top of the figure indicate a significant difference in prediction error between  
894 cortical areas or task conditions ( $p < 0.05$ ,  $q[\text{FDR}] < 0.05$ ). Horizontal red lines at the bottom of  
895 the figure indicate that the absolute error is below chance level ( $p < 0.05$ ,  $q[\text{FDR}] < 0.05$ ). (C)  
896 Lines reflect the performance of the population pattern decoder for PT controlled for the number  
897 of voxels. Similar to (A), lines reflect the average absolute error. Solid lines are identical to those

898 for area PT depicted in (A). Dashed lines show the average absolute error across random  
899 samples (200 iterations) of voxels in PT. Specifically, for each participant we sampled a number  
900 of voxels from PT equal to the number of voxels included in the analysis for the core. Error bars  
901 reflect the standard error of the mean (SEM).

902 **Figure 8. Decoding sound azimuth from population pattern activity across two**  
903 **hemispheres.** (A) Lines reflect the average absolute error of the sound azimuth estimate  
904 resulting from the population pattern decoder (y axis) as a function of actual sound azimuth (x  
905 axis) for a particular cortical area and task condition. Light blue lines: core region during sound  
906 identification task. Dark blue lines: core region during sound localization task. Light green lines:  
907 PT during sound identification task. Dark green lines: PT during sound localization task. Error  
908 bars reflect the standard error of the mean (SEM). (B) Box-plots of the absolute error of the  
909 sound azimuth estimates averaged across the seven sound azimuth positions. Colors similar to  
910 (A). Gray boxes are identical to the boxes shown in Figure 6 and show the absolute error for the  
911 left hemisphere only (left-most gray box) and for the right hemisphere only (right-most gray box)  
912 for comparison. Horizontal black lines at the top of the figure indicate a significant difference in  
913 prediction error between cortical areas or task conditions ( $p < 0.05$ ,  $q[\text{FDR}] < 0.05$ ). Horizontal  
914 red lines at the bottom of the figure indicate that the absolute error is below chance level ( $p <$   
915  $0.05$ ,  $q[\text{FDR}] < 0.05$ ).

916

917 **TABLES****Table 1.** Number of auditory responsive voxels per cortical area.

<b>Cortical area</b>	<b>Average number of voxels (standard deviation)</b>	
	<b>Left hemisphere</b>	<b>Right hemisphere</b>
<b>Core</b>	160.0 (24.2)	138.9 (19.1)
<b>CM</b>	86.6 (14.9)	83.6 (12.9)
<b>CL</b>	73.9 (15.5)	68.3 (12.2)
<b>RM</b>	56.0 (15.0)	51.6 (15.7)
<b>RL</b>	57.8 (12.3)	44.6 (16.7)
<b>PT</b>	271.3 (49.4)	238.4 (48.0)

918 Table shows number of auditory responsive voxels for each cortical area, averaged across  
 919 participants. CM = caudo-medial area. CL = caudo-lateral area. RM = rostro-medial area. RL =  
 920 rostro-lateral area. PT = planum temporale.

921

922 **Table 2.** Differences in the proportion of spatially selective voxels and tuning width between  
 923 cortical auditory areas. Table shows the statistical results of Friedman tests to compare the  
 924 proportion of spatially selective voxels (upper part) and relative tuning width (lower part)  
 925 between cortical auditory areas, as well as the median value for each cortical area.

		Left hemisphere							Right hemisphere						
Condition		Friedman test			Median				Friedman test			Median			
		X <sup>2</sup>	df	p	Core	CM	CL	PT	X <sup>2</sup>	df	p	Core	CM	CL	PT
Proportion spatially selective voxels	Passive	18.6	3	0.0003*	51.2	63.6	65.6	68.8	7.1	3	0.067	58.3	62.2	55.9	66.1
	Identification	18.6	3	0.0003*	50.0	58.8	61.2	66.4	10.1	3	0.018*	51.3	60.7	60.8	63.3
	Localization	9.4	3	0.024*	52.3	55.3	54.1	63.9	7.9	3	0.048	56.2	59.3	58.2	64.7
	Average	19.5	3	0.0002*	50.6	56.3	60.6	66.5	12.4	3	0.0062*	53.8	62.6	56.6	65.2
Tuning width	Passive	15.9	3	0.0012*	108.3	97.7	96.8	90.7	17.9	3	0.0005*	102.0	90.5	100.6	94.0
	Identification	15.6	3	0.0014*	108.8	95.6	95.5	91.8	7.4	3	0.058	103.1	91.2	97.4	97.3
	Localization	10.1	3	0.018*	104.5	98.8	99.2	92.8	15.4	3	0.0015*	102.0	85.0	100.4	95.1
	Average	16.7	3	0.0008*	105.3	95.9	98.7	91.2	18.4	3	0.0004*	101.6	89.1	98.6	95.7

926 \* *p* value significant after FDR correction for multiple comparisons ( $q < 0.05$ ). Proportions are  
 927 displayed in percentages, tuning width in degrees. CM = caudomedial area; CL = caudolateral  
 928 area; PT = planum temporale.

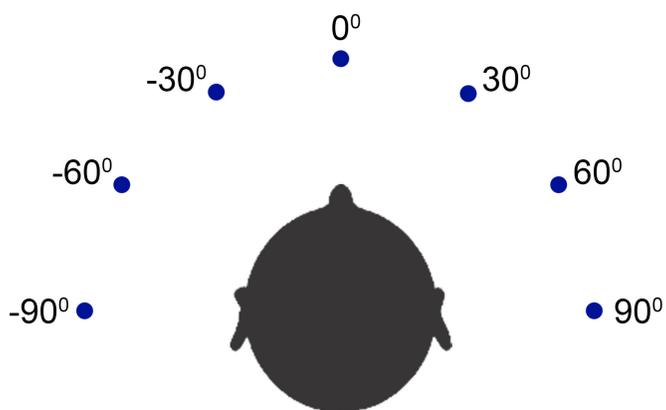
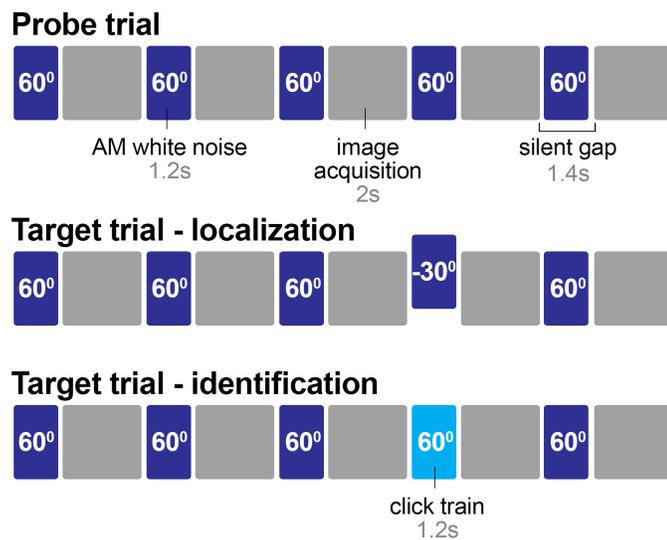
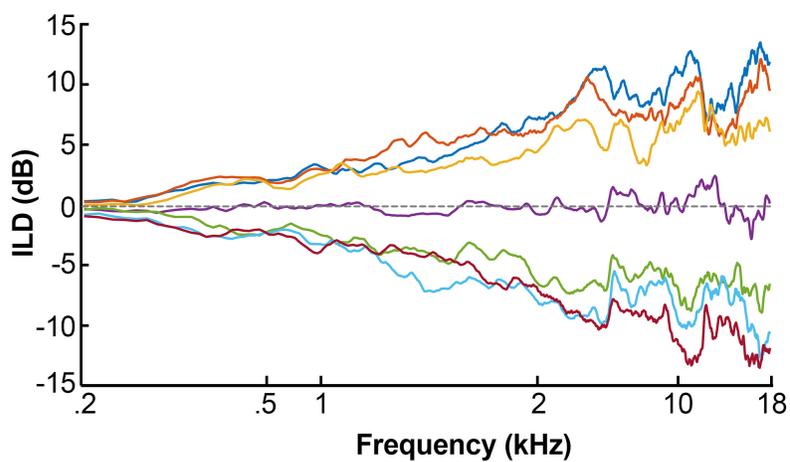
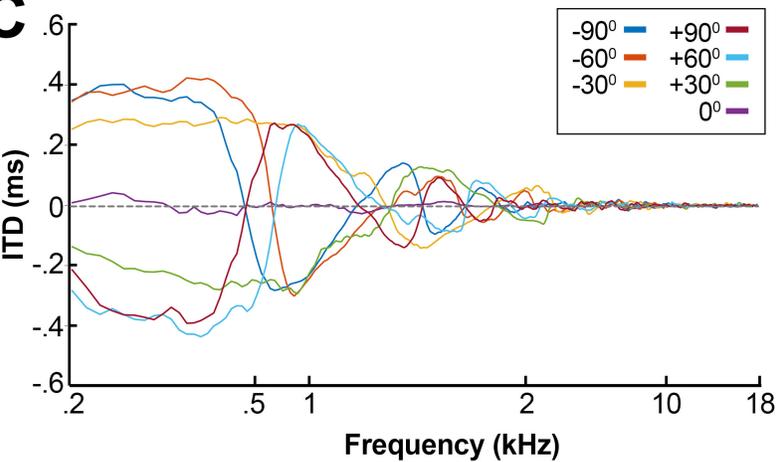
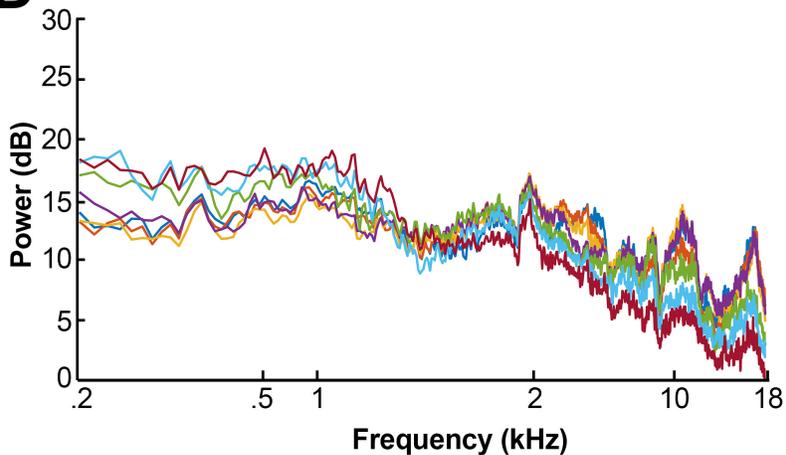
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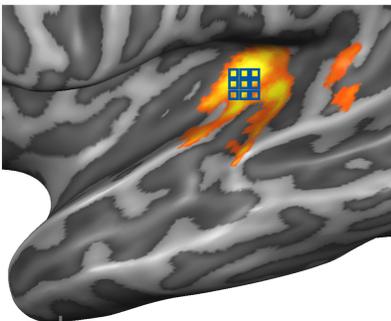
930 **Table 3.** Statistical results ( $p$  values) of post-hoc pairwise comparisons of the proportion of  
 931 spatially selective voxels (upper part) and tuning width (lower part) between cortical auditory  
 932 regions (two-sided Wilcoxon signed-rank tests).

			Left hemisphere			Right hemisphere		
			Core	CM	CL	Core	CM	CL
Proportion of spatially selective voxels	Passive	CM	0.320			n.a.		
		CL	0.001*	0.206		n.a.	n.a.	
		PT	0.001*	0.024*	0.320	n.a.	n.a.	n.a.
	Identification	CM	0.206			0.007*		
		CL	0.005*	0.175		0.577	0.042	
		PT	0.001*	0.002*	0.054	0.010*	0.966	0.054
	Localization	CM	0.638			n.a.		
		CL	0.577	0.700		n.a.	n.a.	
		PT	0.002*	0.010*	0.032	n.a.	n.a.	n.a.
	Average	CM	0.320			0.042		
		CL	0.002*	0.175		0.700	0.014*	
		PT	0.001*	0.005*	0.014*	0.007*	0.465	0.010*
Tuning width	Passive	CM	0.042			0.001*		
		CL	0.005*	0.765		0.320	0.003*	
		PT	0.001*	0.083	0.024*	0.019*	0.148	0.067
	Identification	CM	0.007*			n.a.		
		CL	0.010*	0.638		n.a.	n.a.	
		PT	0.001*	0.278	0.032*	n.a.	n.a.	n.a.
	Localization	CM	0.067			0.005*		
		CL	0.083	0.638		0.465	0.005*	
		PT	0.002*	0.465	0.067	0.042	0.019*	0.413
	Average	CM	0.019*			0.002*		
		CL	0.005*	0.700		0.148	0.001*	
		PT	0.001*	0.123	0.032*	0.019*	0.054	0.365

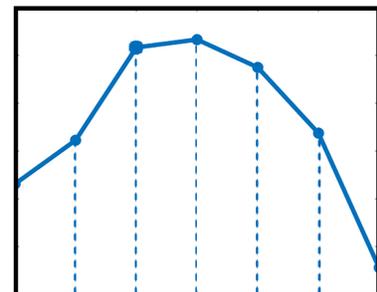
933 \* Significant after FDR correction at  $q < 0.05$ . Note that we only performed post-hoc pairwise  
 934 comparisons for those behavioral conditions that showed significant inter-area differences as  
 935 assessed with the Friedman tests displayed in Table 2. CM = caudomedial area; CL =  
 936 caudolateral area; PT = planum temporale.

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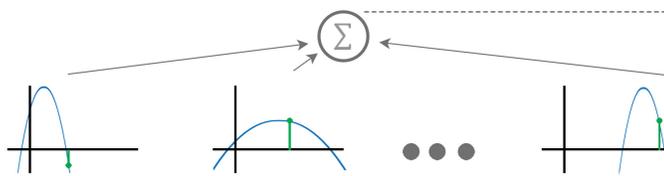
**A****B****C****D**



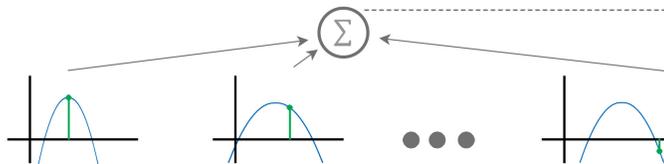
Population log likelihood



Log likelihoods for azimuth  $-90^\circ$



Log likelihoods for azimuth  $-60^\circ$



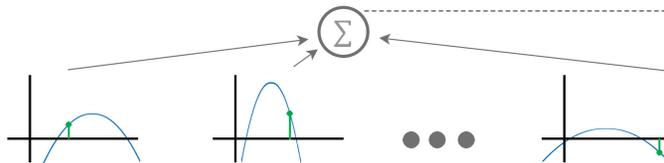
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⋮

Log likelihoods for azimuth  $+90^\circ$



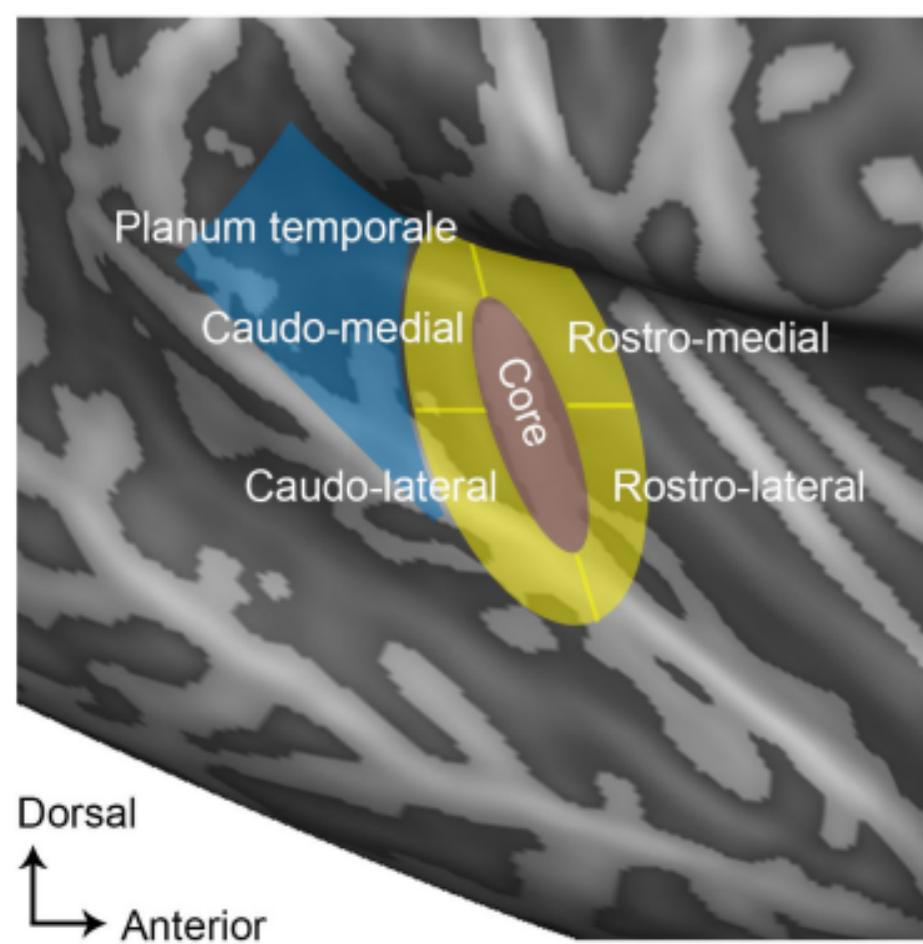
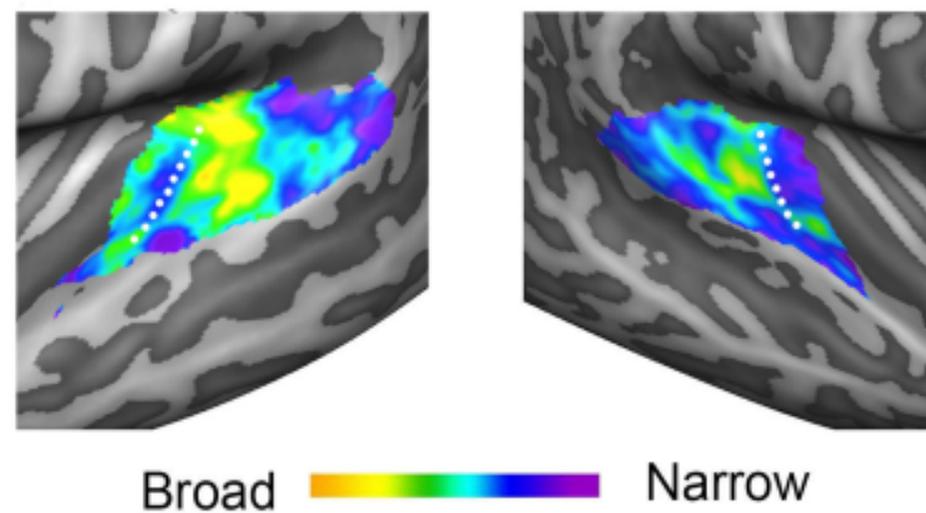
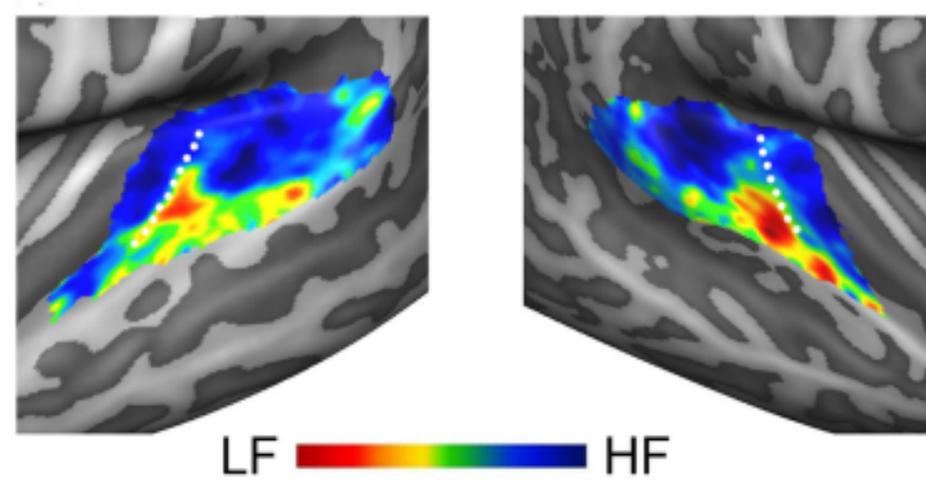
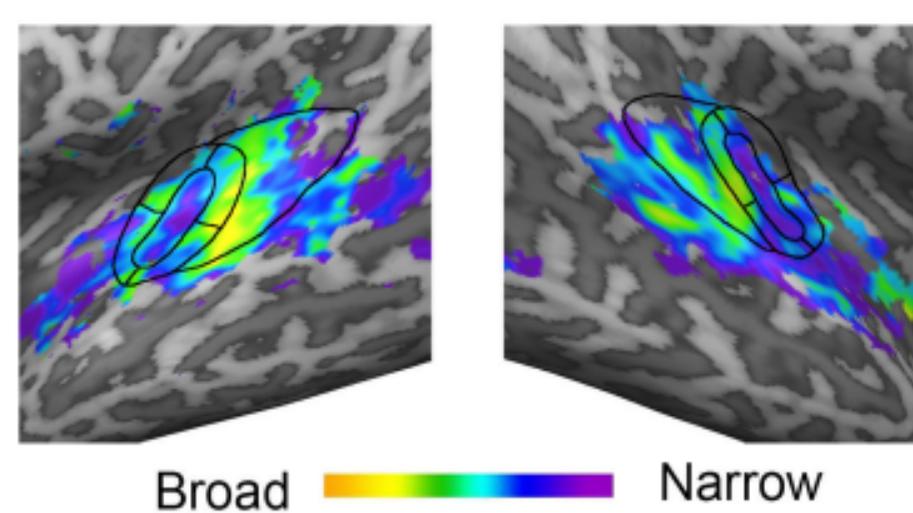
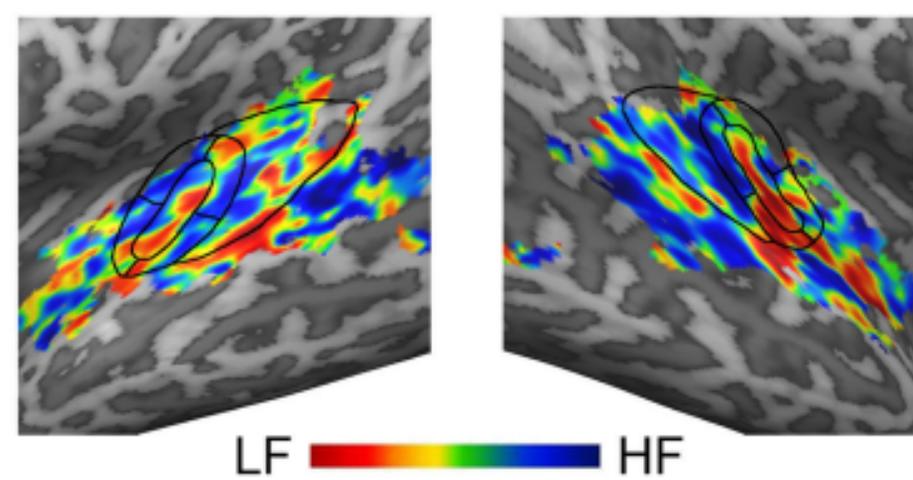
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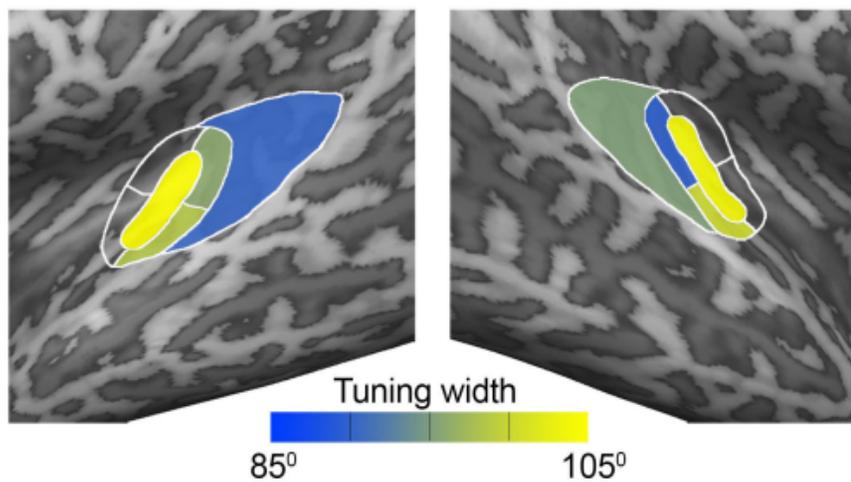
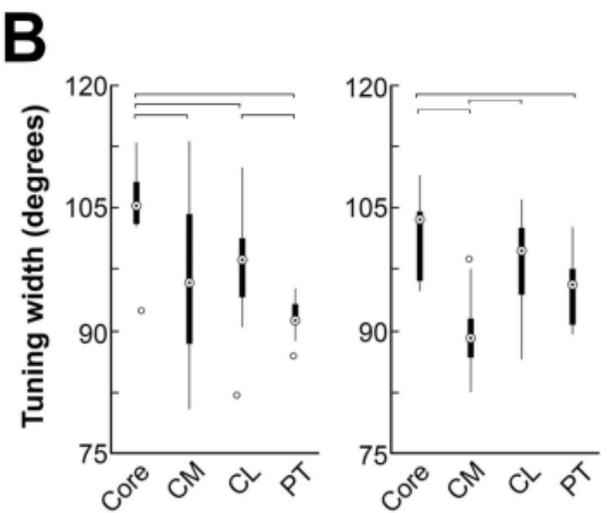
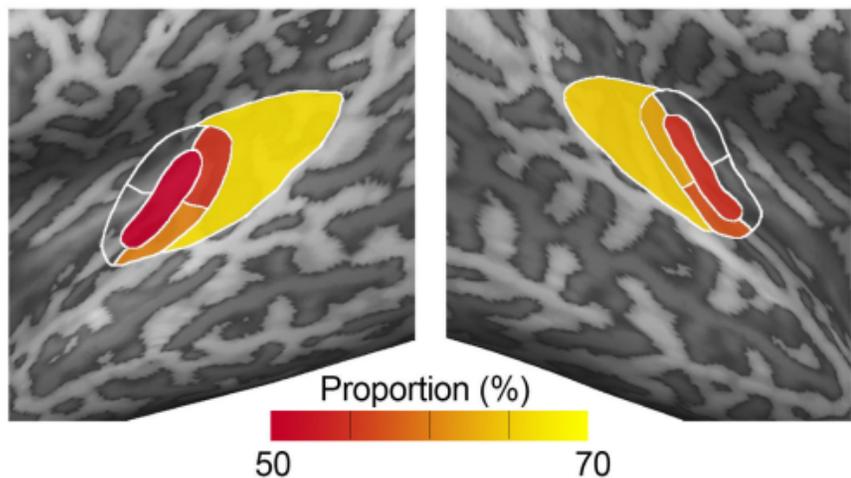
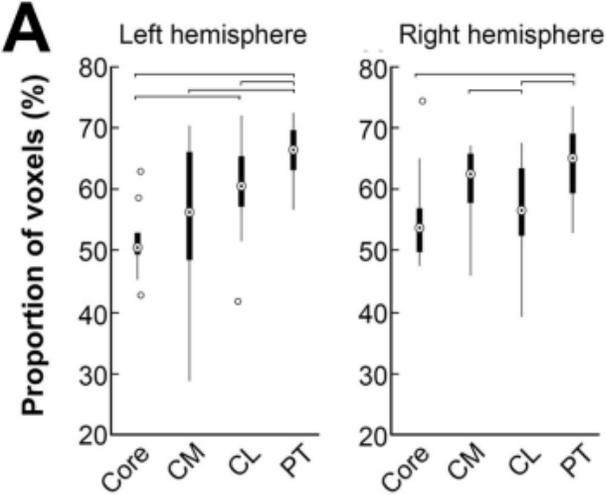


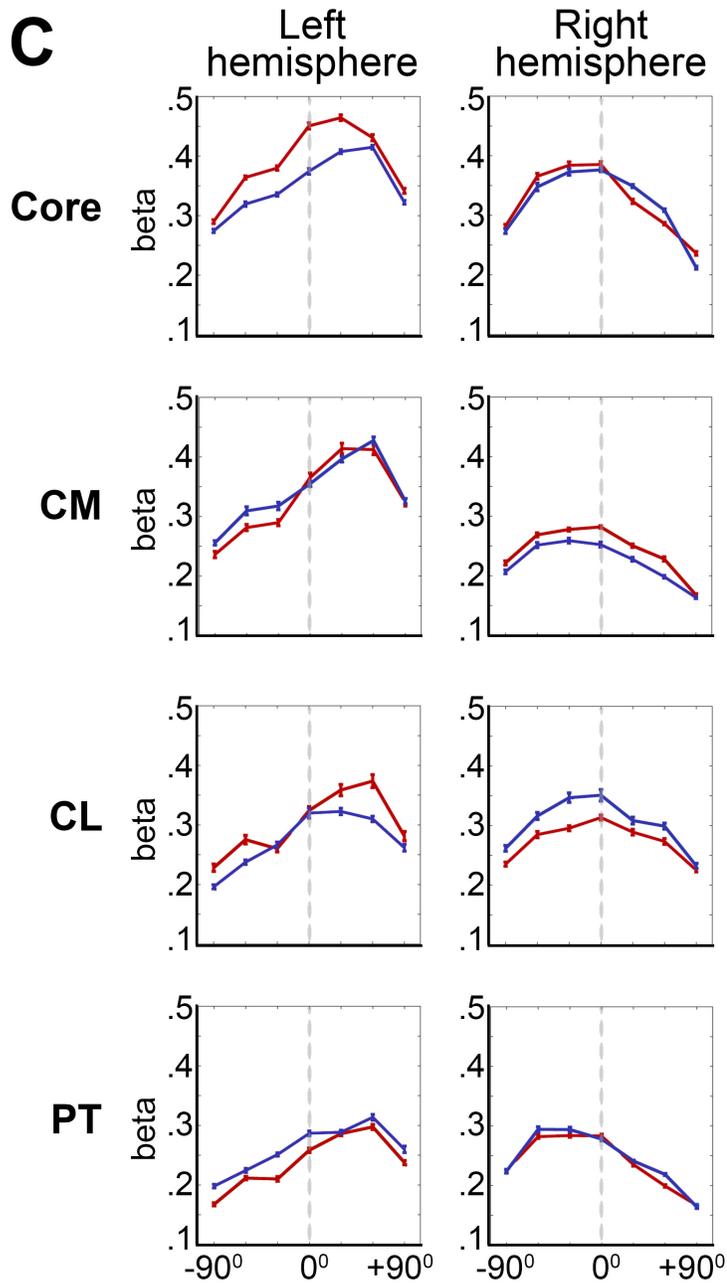
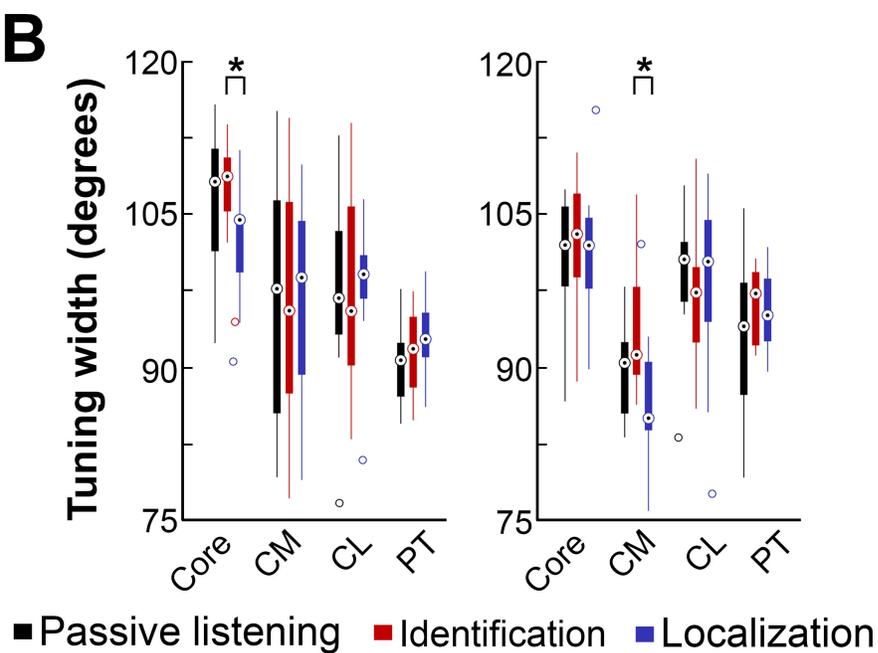
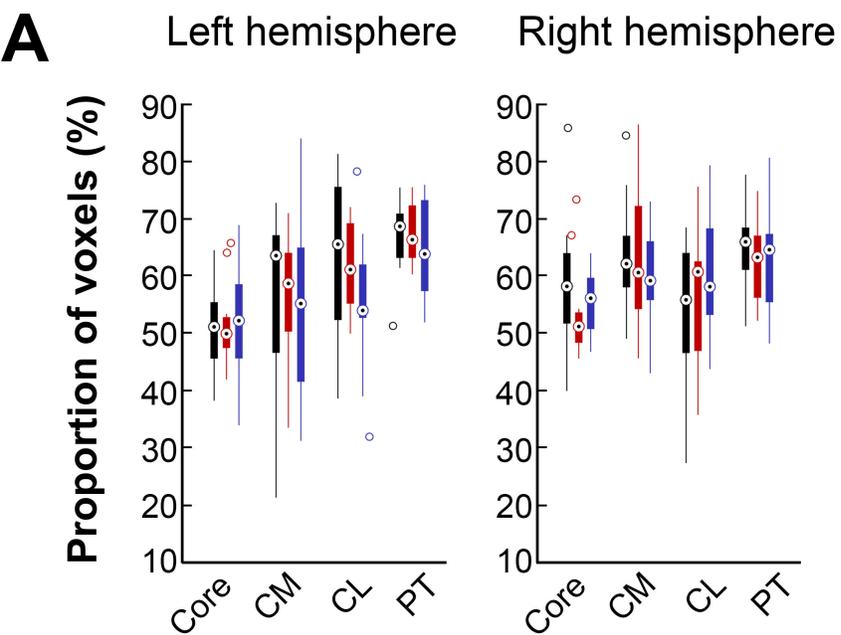
Voxel 1

Voxel 2

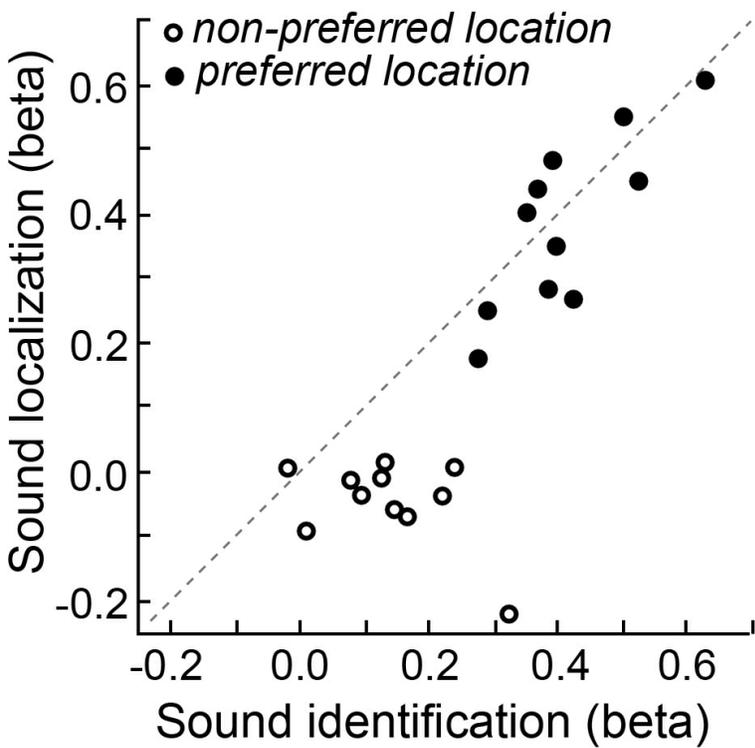
Voxel  $N$

**A****B****C**





### Core - Left hemisphere



### CM - Right hemisphere

