Active sound localization sharpens spatial tuning in human primary auditory cortex.

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Commercial Interest:

1   **Title:** Active sound localization sharpens spatial tuning in human primary auditory cortex.

2   **Abbreviated title:** Spatial tuning in human auditory cortex.

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18   **Number of pages:** 43

19   **Number of figures:** 8

20   **Number of tables:** 1

21   **Number of words Abstract:** 220

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[1] This author is also the Lead Contact for this article.

22   **Number of words Introduction:** 587

23   **Number of words Discussion:** 1,431

24   **Conflict of interest:** The authors declare no competing financial interests.

25   **Acknowledgements:**

36

ABSTRACT

Spatial hearing sensitivity in humans is dynamic and task-dependent, but the mechanisms in human auditory cortex that enable dynamic sound location encoding remain unclear. Using functional magnetic resonance imaging (fMRI), we assessed how active behavior affects encoding of sound location (azimuth) in primary auditory cortical areas and planum temporale (PT). In the current hierarchical model of auditory processing and cortical functional specialization, the planum temporale (PT) is implicated in sound location ('where') processing. Yet, strikingly, our results show that spatial tuning profiles in the left primary core and right caudo-medial belt sharpened during a sound localization ('where') task compared to a sound identification ('what') task. In contrast, spatial tuning in PT was sharp but did not vary with task performance. We further applied a population pattern decoder to the measured fMRI activity patterns, which confirmed the task-dependent effects in the left core: sound location estimates from fMRI patterns measured during active sound localization were most accurate. In PT, decoding accuracy was not modulated by task performance. These results indicate that changes of population activity in human primary auditory areas reflect the dynamic and task-dependent processing of sound location. As such, our findings suggest that the hierarchical model of auditory processing may need to be revised to include an interaction between primary and functionally specialized areas depending on behavioral requirements.

SIGNIFICANCE STATEMENT

According to a purely hierarchical view, cortical auditory processing consists of a series of analysis stages from sensory (acoustic) processing in primary auditory cortex to specialized processing in higher-order areas. Posterior-dorsal cortical auditory areas – planum temporale (PT) in humans – are considered to be functionally specialized for spatial processing. However, this model is based mostly on passive listening studies. Our results provide compelling evidence

61 that active behavior (sound localization) sharpens spatial selectivity in primary auditory cortex,

62 while spatial tuning in functionally specialized areas (PT) is narrow but task-invariant. These

63 findings suggest that the hierarchical view of cortical functional specialization needs to be

64 extended: our data indicate that active behavior involves feedback projections from higher-order

65 regions to primary auditory cortex.

66

INTRODUCTION

Sound localization is a crucial component of mammalian hearing. In the mammalian auditory cortex, neural activity in posterior areas is modulated by sound location more than in primary and anterior areas. These spatially sensitive areas include the caudo-medial and caudo-lateral belt areas (CM and CL) in non-human primates (e.g. Tian, Reser, Durham, Kustov, & Rauschecker, 2001), the posterior auditory field (PAF; Harrington, Stecker, Macpherson, & Middlebrooks, 2008) and dorsal zone (DZ) in cats (Lomber & Malhotra, 2008; Stecker, Harrington, & Middlebrooks, 2005; Stecker & Middlebrooks, 2003), and the planum temporale (PT) in humans (Brunetti et al., 2005; Deouell, Heller, Malach, D'Esposito, & Knight, 2007; Derey, Valente, de Gelder, & Formisano, 2015; McLaughlin, Higgins, & Stecker, 2016; Van der Zwaag, Gentile, Gruetter, Spierer, & Clarke, 2011; Warren & Griffiths, 2003). For this reason, cortical processing of sound location is presumably taking place in a functionally specialized, posterior-dorsal 'where' stream (Arnott, Binns, Grady, & Alain, 2004; Rauschecker & Scott, 2009; Rauschecker & Tian, 2000; Tian et al., 2001).

Behavioral evidence from psychophysical studies shows that auditory spatial sensitivity in humans is dynamic. For example, an auditory target is processed faster when auditory spatial attention is focused at the location of the target (e.g. Mondor & Zatorre, 1995; Rorden & Driver, 2001; Spence & Driver, 1994). A recent study investigating the neural mechanisms underlying this dynamic spatial sensitivity in cats identified the primary auditory cortex (A1) as a potential locus for such dynamic sound location processing. (Lee & Middlebrooks, 2011). In humans, a recent study reported a region in posterior auditory cortex that exhibited a differential level of activation based on task performance, but no task modulation of selectivity to interaural level (ILD) or time differences (ITD) across the entire auditory cortex (Higgins, McLaughlin, Rinne, & Stecker, 2017). However, it is presently not clear whether task performance results in sharpening of spatial tuning within distinct regions of the human auditory cortex, and whether

92   this sharpening occurs preferentially in functionally specialized 'where' regions (i.e. PT) or also

93   affects primary auditory cortex.

94   Moreover, the effects of task performance on the cortical encoding of sound location are not yet

95   known. The computational mechanisms underlying cortical sound location encoding are still a

96   matter of debate, and prior studies assessing the validity of these computational mechanisms

97   have not addressed possible effects of task performance (Day & Delgutte, 2013; Derey et al.,

98   2015; Harper & McAlpine, 2004; King et al., 2007; McAlpine, Jiang, & Palmer, 2001; Miller &

99   Recanzone, 2009; Ortiz-Rios et al., 2017; Stecker et al., 2005; Stecker & Middlebrooks, 2003).

100   Here we measured with fMRI the neuronal population responses to different sound azimuth

101   positions in the human auditory core, lateral belt areas, and planum temporale (PT), while

102   participants performed different behavioral tasks. We then evaluated the spatial selectivity of

103   neuronal populations within these areas across task conditions. Additionally, we applied a

104   modified version of a maximum-likelihood population-pattern decoder previously used to decode

105   sound location from neural spike rates (Day & Delgutte, 2013; Jazayeri & Movshon, 2006; Miller

106   & Recanzone, 2009) to assess whether sound location encoding in fMRI activity patterns in

107   human auditory cortex within and across hemispheres is modulated by task performance. Our

108   results provide new insights into the dynamic nature of sound location encoding in primary

109   human auditory cortex. In particular, in agreement with "reverse hierarchy" (Ahissar, Nahum,

110   Nelken, & Hochstein, 2009) and "recurrent processing" models (Bullier, 2001; Lamme &

111   Roelfsema, 2000), our data suggest that behavior (sound localization) is enabled by feedback

112   from functionally specialized areas to primary auditory cortex.

113

MATERIALS AND METHODS

Participants

Thirteen human volunteers gave informed consent to participate in the experiment. Data of two participants were excluded from the analysis due to insufficient data quality as a consequence of excessive motion and participant fatigue. Data of the remaining eleven participants (mean age = 28.9 years, standard deviation = 11.7 year, seven females) are presented here. Participants reported no history of neurological disorders. We assessed hearing levels with pure-tone thresholds (0.5, 1, 2, 4, 8 kHz) using an Oscilla SM910 Screening Audiometer (Oscilla, Aarhus, Denmark). Hearing thresholds did not exceed 25dB for any of the frequencies tested. The Institutional Review Board (IRB) of Georgetown University granted approval for the study.

Stimuli

Stimuli consisted of amplitude-modulated (AM) white noise clips (*probe* sounds, duration = 1200 ms) and click trains (*target* sounds, click rate = 200 Hz, duration = 1200 ms). Probe and target sounds were created with Matlab (The Mathworks, Inc., Natick, Massachusetts, United States). Stimuli were presented at one of seven locations (-90°, -60°, -30°, 0°, +30°, 60°, and +90°; Figure 1 A.

All stimuli were spatialized by making subject-specific binaural recordings (Derey et al., 2015). During the binaural-recording session, participants sat in a chair in the center of a production studio (internal volume = 66 m^3, walls and ceiling consisted of gypsum board covered with fabric, the floor consisted of concrete covered with a carpet) with binaural microphones placed in their ear canals (OKM II Classic Microphone, Soundman, Germany). A loudspeaker positioned at zero elevation in the far field (distance to subject = 1.3 meters) presented sounds

137 at each of the locations (Figure 1 A). This procedure resulted in stimuli with a clear spatial

138 percept based on available ILD, ITD, and spectral cues (Figure 1 C and Figure 1 D).

139 Each stimulus was pre-filtered with headphone equalization filters provided by the manufacturer

140 of the MRI-compatible earbuds used in the present study (Sensimetrics S14; Sensimetrics

141 Corporation, Cambridge, MA, United States). The headphone equalization filters ensure a flat

142 frequency response at the level of the earbuds and remove headphone-induced phase offsets

143 between the earbuds.

144 For the tonotopy measurements, we used amplitude-modulated pure tones (rate of modulation

145 = 10 Hz, full-depth modulation, 800ms duration). Pure tones were centered on eight center

146 frequencies (0.18; 0.30; 0.51; 0.86; 1.46; 2.48; 4.19; 7.09 kHz) with a slight variation of ±0.1

147 octave to prevent habituation (see De Martino et al., 2013). Stimuli for the tonotopy

148 measurements were also pre-filtered with the headphone equalization filters described above.

149 **Experimental design**

150 Participants listened to *probe* trials in three behavioral conditions: *passive listening, sound*

151 *identification*, and *sound localization. Probe* trials consisted of five repetitions of a *probe* sound

152 clip (duration = 1200ms) at the same location. Sound clips were presented in silent gaps (1.4s)

153 in between fMRI acquisition periods (2s, see *Data acquisition*), resulting in a total duration of 17

154 seconds per trial (five stimulus repetitions in silent gaps of 1.4s plus five fMRI data acquisition

155 periods of 2s; Figure 1 B). In the active listening conditions only, participants also listened to

156 *target* trials. Specifically, in the *sound identification* condition, target trials had a similar structure

157 (i.e. five repetitions at the same azimuthal location), yet the fourth or the fifth repetition of the

158 *probe* sounds (AM white nosie) was replaced by a deviant *target sound* (click train) at the same

159 location (Figure 1 B). In the *sound localization* condition, target trials had a similar structure as

160 well, but the fourth or the fifth repetition of the *probe* sound (AM white noise) was replaced by a

161   *probe* sound at a deviant azimuth location. For example, the first four stimuli were presented at -

162   90º and the fifth stimulus at +30º (Figure 1 B).

163   During fMRI acquisition, trials were grouped by task (passive listening, sound identification,

164   sound localization) in a block. In each block, probe trials were presented once at each azimuth

165   location and were separated by an inter-trial interval of 12.2s (see *Data acquisition* for detailed

166   information). The order of azimuth locations was randomized within a block. Thus, for *passive*

167   *listening*, a block consisted of seven probe trials, one at each azimuth location. For the active

168   tasks – *sound localization* and *sound identification* – a block also contained two target trials

169   (equivalent to ~22% of the total number of trials) in addition to the seven probe trials. The order

170   of target and probe trials was randomized within a block.

171   Each participant performed one block of each task per run of fMRI acquisition. Thus, one run

172   consisted of three blocks corresponding to the three behavioral task conditions. At the start of

173   each task block, a short audio clip of a voice informed participants of the task at hand: 'sound

174   location', 'sound identity', or 'passive listening'. In the *passive listening* condition, participants

175   listened to the sounds without making a response. In the *sound identification* condition,

176   participants pressed a button immediately upon detection of a target sound within a target trial

177   (i.e. the click train). In the *sound localization* condition, participants pressed a button

178   immediately upon detecting a location switch within a target trial.

179   The order of blocks was randomized and counterbalanced across participants. In total,

180   participants completed four runs of the main experiment (~10 minutes each) in the MRI scanner.

181   This resulted in four probe trial repetitions per azimuth location per task condition. Only probe

182   trials were included in the subsequent analyses (see *Data analysis*).

183   Prior to the fMRI measurements, participants performed a short practice session to get familiar

184   with the tasks and with the MRI environment. This also enabled participants to get accustomed

185   to the auditory spatial percept in a supine frame of reference (due to the supine position

186   required by the MRI scanner). The practice session consisted of passive presentation of the

187   probe stimuli at each location as well as short task blocks of the *sound localization* and the

188   *sound identification* task, in which one target trial was presented per task block.

189   Finally, the scan session was concluded with two runs of tonotopy measurements (~7.5 minutes

190   each). For this experiment, participants listened passively to blocks of AM pure tones in the MRI

191   scanner. Each block was repeated twice per run, resulting in four repetitions per center

192   frequency. The order of frequency blocks was randomized (see De Martino et al., 2013).

193   **Data acquisition**

194   Data were acquired with a Siemens TIM Trio 3-Tesla magnetic resonance imaging (MRI)

195   scanner at the Center for Functional and Molecular Imaging (CFMI) at Georgetown University

196   (Washington, DC, United States). For the main experiment, blood-oxygenated-level-dependent

197   (BOLD) signals were measured with a T_2*-weighted echo-planar imaging (EPI) sequence

198   covering the temporal cortex and parts of the occipital, parietal, and frontal cortex (echo time

199   [TE] = 30 ms; repetition time [TR] = 3400 ms; flip angle = 90°; number of slices = 32; voxel size

200   = 2 mm^3 isotropic). Image acquisition was clustered (TA = 2000 ms), and binaural recordings

201   were presented in silent gaps (duration = 1400 ms) between subsequent volume acquisitions

202   through MR-compatible insert earphones (Sensimetrics S14, Sensimetrics Corporation) with

203   sound-attenuating foam eartips (>29dB attenuation). One sound was presented per TR. Trials

204   (i.e. five stimulus repetitions per azimuth location corresponding to five TRs, 17 s duration) were

205   separated by three volumes in which no sound was presented (that is, 12.2 s silence) to allow

206   the BOLD signal to return to baseline before the onset of the next trial.

207   We also acquired a high resolution anatomical image of the whole brain with a MPRAGE T1-

208   weighted sequence (TE = 2.13 ms; TR = 2400 ms; voxel size = 1 mm^3 isotropic). For the

209 tonotopic measurements we also used a sparse T_2*-weighted EPI sequence to measure the

210 BOLD signal, covering mainly the temporal cortex (echo time [TE] = 30 ms; repetition time [TR]

211 = 2600 ms; acquisition time (TA) = 1600 ms; silent gap = 1000 ms; flip angle = 90°; number of

212 slices = 25; voxel size = 2 mm^3 isotropic). In each run, AM pure tones were presented in the

213 silent intervals between subsequent volume acquisitions in blocks of six repetitions per center

214 frequency (15.6 s). Blocks were separated by 12 s of silence (four volumes).

215 **Statistical Analysis**

216 <u>Data preprocessing</u>

217 Functional and anatomical data were analyzed using BrainVoyager QX (Brain Innovation,

218 Maastricht, The Netherlands), and customized Matlab code. Preprocessing of functional images

219 included motion correction (trilinear/sinc interpolation, we used the first run of first volume as

220 reference volume for aligning), slice scan time correction (sinc interpolation), linear drifts

221 removal, temporal high pass filtering (threshold = 7 cycles per run), and mild spatial smoothing

222 (3 mm kernel). Functional images were co-registered to the anatomical T1-weighted image and

223 transformed to 3D Talairach space (Tournoux & Talairach, 1988). Gray-white matter boundaries

224 were defined with the BrainVoyager QX automatic segmentation procedure and manually

225 improved when necessary.

226 Group analyses were performed in surface space to ensure optimal alignment of the auditory

227 cortex across participants. To this end, we applied cortex based alignment (CBA) to the surface

228 reconstruction of each participant (Goebel, Esposito, & Formisano, 2006) with the additional

229 constraint of an anatomical definition of Heschl's gyrus (HG; Kim et al., 2000; Morosan et al.,

230 2001). High-resolution surface mesh time courses were created by sampling and averaging for

231 each point on the surface (that is, each vertex) the values from -1 mm below the gray/white

232 matter boundary up to 2 mm in the gray matter towards the pial surface.

233 <u>Univariate analysis of the processing of spatialized sounds</u>

234 To test for the general response to presentation of spatialized sounds, we estimated a random

235 effects general linear model (RFX GLM) with a predictor for sound presentation including all

236 probe trials (irrespective of azimuth location or behavioral task condition). Target trials were

237 modeled with a separate predictor and not included in the contrast.

238 <u>Response azimuth functions</u>

239 We constructed a response azimuth function (RAF) for each auditory responsive voxel

240 (individual subject GLM with one predictor per sound azimuth location per task condition and

241 excluding target trials, contrast *auditory stimuli > baseline*, q[FDR] < 0.05]). RAFs consisted of

242 location-specific beta values estimated with a GLM with one predictor per sound location per

243 task. RAFs were mildly smoothed with a moving average window of three points (weights [.2 .6

244 .2]). A peak response was defined as a response at 75% or more of the maximum beta value in

245 the RAF (see also Derey et al., 2015; Stecker et al., 2005; Stecker & Middlebrooks, 2003). Each

246 peak was described as a vector with *length = β*, and *angle = azimuth position*. The vector sum

247 then consisted of the summation of these individual vectors.

248 We considered a voxel to be spatially selective if the BOLD response was modulated by sound

249 azimuth position – as reflected in the RAF – such that at least one and maximally three adjacent

250 azimuth positions elicited a peak response. A voxel that exhibited a peak response to more than

251 three adjacent azimuth positions was considered omni-responsive and therefore nonselective.

252 Voxels that exhibited a peak response to two or more separate azimuth locations were also

253 considered nonselective.

254 The tuning width of spatially selective voxels was quantified as the equivalent rectangular

255 receptive field (ERRF) width (Lee & Middlebrooks, 2011). The ERRF is equal to the ratio

256 between the amplitude of the peak response (that is, the beta value at the preferred location),

12

257   and the integral of the RAF. Although this measure does not provide an absolute measure of

258   spatial selectivity, it enables the comparison of spatial selectivity across conditions, areas and

259   participants. Given that the rostral belt areas were not extensively activated, we focused this

260   analysis on the caudal belt areas CM and CL.

261   <u>Response sharpening versus response gain</u>

262   We tested whether sharpening of spatial tuning resulted from *BOLD response gain* (that is, an

263   increase of the BOLD response at the voxel's preferred location), *BOLD response sharpening* (a

264   decrease in the BOLD response at the voxel's least preferred location), or a combination of the

265   two. For this comparison, we defined the voxel's best location as the location with the highest

266   beta value in the task-independent RAF, that is, the average RAF across the two active task

267   conditions. Similarly, we considered the least-preferred location the azimuth location with the

268   lowest beta value in the average RAF (see also Lee & Middlebrooks, 2011, 2013)).

269   <u>Decoding sound azimuth position from fMRI activity patterns</u>

270   To decode sound location, we applied a population-pattern decoder to the measured fMRI

271   activity patterns in two regions of interest: the core region and PT. We selected these regions

272   based on prior research in animals indicating primary auditory cortex as a potential locus for

273   dynamic spatial sensitivity (Lee & Middlebrooks, 2011) and prior neuroimaging research in

274   humans illustrating the role of PT in spatial auditory processing in the human brain (Brunetti et

275   al., 2005; Deouell, Heller, Malach, D'Esposito, & Knight, 2007; Derey, Valente, de Gelder, &

276   Formisano, 2015; McLaughlin, Higgins, & Stecker, 2016; Van der Zwaag, Gentile, Gruetter,

277   Spierer, & Clarke, 2011; Warren & Griffiths, 2003).

278   In general, the decoder – a modified version of a pattern decoder introduced to decode sensory

279   information from neural spike rate patterns (Jazayeri & Movshon, 2006; see also Day &

280   Delgutte, 2013; Miller & Recanzone, 2009) – computes the log-likelihood that a sound at a given

281   azimuth location elicited the observed fMRI activity pattern. In particular, we computed for each

282   voxel the log-likelihood that a stimulus at a particular azimuth location induced the observed

283   BOLD response. The population log-likelihood then consists of the sum of the log-likelihoods

284   across all voxels (Figure 2).

285   Specifically, for each cortical area, we selected those voxels that responded to sounds (GLM

286   *sound > baseline*, $p < 0.005$ uncorrected) and exhibited a spatially selective response (see

287   section before). Next, we estimated for each subject a GLM per functional data run with one

288   predictor per azimuth position per task. This resulted in four beta estimates per azimuth

289   position, equivalent to the four functional runs. Beta estimates were normalized between 0 and

290   1 across the seven azimuth positions within each run. For each stimulus azimuth position, we

291   then computed the log-likelihood that the observed BOLD response (β_i) in the voxel under

292   consideration was elicited by the presentation of a sound at that location. Assuming that the

293   observed BOLD response β_i of voxel i for a given azimuth position θ_0 is normally distributed

294   with mean $\mu_{0,i}$ and standard deviation $\sigma_{0,i}$, the log-likelihood of the observation can be

295   computed as:

$$log\ L_i(\theta_0) = \frac{(\beta_i - \mu_{0,i})^2}{2\sigma_{0,i}^2} - \frac{1}{2}\log(\sigma_{0,i}^2) - \frac{1}{2}\log(2\pi)$$

296   The estimation was carried out using cross-validation: we considered three runs to estimate the

297   mean $\mu_{0,i}$ and standard deviation $\sigma_{0,i}$ of a given voxel and azimuth position, and we used the

298   left-out run to calculate the log-likelihood. The procedure was repeated for all the possible train-

299   test combinations. Due to the limited amount of available data (one trial per run), the estimation

300   of the parameters was done using the beta values of the selected voxel, as well as the six

301   neighboring voxels, that is, those voxels sharing a side with the relevant voxel. Consequently,

302   the number of data points to estimate $\mu_{0,i}$ and $\sigma_{0,i}$ was 21 (three functional runs multiplied with

303   seven voxels). The test data β_i is the beta estimate for this voxel for this azimuth position in the

304 run that was left out. Assuming conditional (i.e. within each azimuth position) independence

305 between different voxels, the population response was then computed as the sum of log

306 likelihood of all voxels in the cortical area (N):

307

$$\log L(\theta_0) = \sum_{i=1}^{N} \log L_i(\theta_0)$$

308

309 In the test run, we predicted the sound azimuth location of a new, unseen sound, by selecting

310 the location with the highest log-likelihood. This is equivalent to using a probabilistic classifier

311 based on the posterior probability of azimuth location given the observed data, when class prior

312 is uniform across all sound locations. Reported absolute errors are the average across the four

313 train-test estimations. Statistical comparisons of absolute error across cortical areas and tasks

314 were made with Wilcoxon signed-rank tests (one-tailed) and corrected for multiple comparisons

315 with the false discovery rate (q[FDR] < 0.05) unless mentioned differently. We determined the

316 chance level of absolute error per azimuth position with permutation testing. Specifically, within

317 each run we permuted beta estimates randomly across the seven azimuth locations and for all

318 voxels independently. We then applied the maximum likelihood decoder to the permuted data.

319 This procedure was repeated 1500 times per subject. Chance level of absolute error was

320 computed as the mean absolute error across permutations.

321 Finally, we applied the population pattern decoder to data from both hemispheres

322 simultaneously. In particular, we randomly sampled half of the voxels in the left hemisphere and

323 half of the voxels in the right hemisphere. This procedure ensured that the number of data

324 points used for the maximum likelihood estimation was equal when the decoder operated on

325 data from two hemispheres versus data from a single hemisphere. We repeated the random

326 sampling procedure 200 times per subject and computed absolute error as the average across

327   samples. To determine the chance level for the population decoder operating on data from the

328   two hemispheres, we applied a similar permutation procedure as described above. However,

329   due to the interaction of the computationally intensive procedure of repeating the random

330   sampling of half of the voxels in each hemisphere as well as the permutations, we limited the

331   calculation to 30 random samples with 10 permutations each. Chance level of absolute error

332   was computed as the average absolute error across samples and permutations.

333   <u>Parcellation of the auditory cortex</u>

334   To divide the auditory cortex into core, belt regions, and PT, we combined maps of frequency

335   preference (tonotopy) and frequency selectivity. To construct these maps, we first estimated a

336   voxel's frequency tuning profile by estimating GLM with one predictor per center frequency for

337   each auditory active voxel (assessed with a GLM contrasting *auditory stimulation > baseline,*

338   liberal threshold of *p* < 0.05 uncorrected). We inferred a voxel's preferred frequency (PF) from

339   the frequency tuning profile. That is, a voxel's PF was defined as the frequency with the highest

340   beta value in the tuning profile (after z-normalizing across voxels). We then created tonotopic

341   maps on the cortical surface by color coding the PF of all auditory responsive voxels in a blue

342   (high frequency) to red (low frequency) color scale.

343   Next we estimated the frequency selectivity of a voxel by computing a *Frequency Selectivity*

344   *Index* (FSI). This index expresses the ratio between the peak beta value (that is, the beta

345   corresponding to the PF) and the area under the frequency tuning curve (the integral):

$$FSI = \frac{\int frequency\ tuning\ curve}{\beta_{PF}}$$

346   Then – similar to (Moerel, De Martino, & Formisano, 2012) – we defined the tuning width (TW)

347   of a voxel as:

348 $TW = PF\ /\ f_2 - f_1$

16

349   where (f_2-f_1) is the frequency range in Hz corresponding to the FSI. As such, TW is high for

350   voxels with a narrow tuning profile and small for voxels with a broad tuning profile. We color

351   coded TW on the cortical sheet in a yellow (broad tuning) to purple (narrow tuning) color scale.

352   Finally, we used these maps to parcellate the auditory cortex following criteria based on the

353   tonotopic organization described by Moerel et al. (2012); Figure 3). Specifically, Moerel et al.

354   (2012) identify the core region as a region overlapping with HG that is narrowly tuned to

355   frequency and encompasses two mirror-symmetric tonotopic gradients (see also Formisano et

356   al., 2003; Leaver & Rauschecker, 2016; Moerel, De Martino, & Formisano, 2014). This core

357   region is flanked by broadly tuned regions both anteriorly (overlapping with the first transverse

358   sulcus and planum polare in general), and posteriorly (coinciding with Heschl's sulcus [HS]).

359   Here we defined these broadly tuned bands as the rostral and caudal belt respectively (Figure

360   3). We then evenly divided both the caudal and the rostral belt into medial and lateral parts,

361   resulting in four belt areas: caudomedial (CM), caudolateral (CL), rostromedial (RM), and

362   rostrolateral (RL; Kaas & Hackett, 2000; Rauschecker, Tian, & Hauser, 1995). Finally, in line

363   with Moerel et al. (2012) and the anatomical definition of PT provided by Kim et al. (2000), we

364   defined the remaining posterior part of the superior temporal plane as PT. This region was

365   bordered anteriorly by the caudal belt (overlapping largely with HS), medially by the insular

366   cortex, and laterally by the superior temporal gyrus (STG).

367   Note that two participants did not show extensive activation in the auditory cortex for the

368   contrast *auditory stimulation > baseline* as a result of excessive movement during the tonotopy

369   measurements (possibly due to participant fatigue). We parcellated the auditory cortex of these

370   two participants based on anatomical criteria, resulting in areas that were similar in size and

371   location to those of the other participants. Specifically, the core region was identified as

372   approximately two-thirds of HG (starting from the medial border; Moerel et al., 2012, 2014). The

373   caudal belt was defined by HS, bordered posteriorly by PT (Kim et al., 2000). The rostral belt

17

374 was defined as anteriorly to HG – mainly overlapping with the first transverse sulcus – as the

375 mirror image of the caudal belt. The rostral and caudal belt regions were evenly split into a

376 lateral and medial part.

377 Maps of cortical auditory areas constructed in surface space were projected back into volume

378 space. In subsequent analyses, we included for each area the voxels that responded to sounds

379 (established with a GLM, contrast *auditory stimulation > baseline*, liberal threshold of $p < 0.005$

380 uncorrected; see Table S1).

381 **RESULTS**

382 **Behavioral task performance**

383 Behavioral accuracy in the MRI scanner was high for both active tasks. The average hit rate for

384 the sound localization task was 94.3% (standard deviation [SD]: 15.2%), and for the sound

385 identification task 90.9% (SD: 12.6%). There was no difference in mean accuracy between

386 tasks (paired samples t-test, $t(10) = 0.607$, $p = 0.557$).

387 **Univariate analysis of the processing of spatialized sounds in human auditory cortex**

388 A random effects general linear model (RFX GLM) contrasting *auditory stimulation > baseline*

389 showed increases in BOLD signal in primary and secondary auditory cortices in response to the

390 probe trials (corrected for multiple comparisons with the False Discovery Rate [FDR], $q < 0.05$;

391 (Benjamini & Hochberg, 1995). Activated areas included Heschl's gyrus (HG), HS, planum

392 temporale (PT), and – to a lesser extent – the first transverse sulcus and other parts of the

393 planum polare (PP). To investigate differences in the overall level of activation elicited by the

394 three task conditions, we computed several balanced contrast maps. However, none of these

395 contrasts revealed different activation levels between task conditions, either at a stringent

396   threshold (FDR, $q < 0.05$) or at a more liberal threshold ($p < 0.005$ uncorrected), indicating that

397   the overall BOLD signal amplitude in the auditory cortex was similar across tasks.

Parcellating the human auditory cortex

399   In agreement with prior tonotopic mapping studies (e.g. Da Costa et al., 2011; Formisano et al.,

400   2003; Leaver & Rauschecker, 2016; Moerel et al., 2012; Striem-Amit, Hertz, & Amedi, 2011;

401   Talavage et al., 2004; Wessinger et al., 2001), cortical maps of frequency preference revealed a

402   region tuned to low frequencies overlapping partly with HG which was bordered anterolaterally

403   and posteromedially by regions responding maximally to high frequencies (Figure 3). Further,

404   similar to Moerel et al. (2012) we observed a narrowly tuned region overlapping with (or in close

405   vicinity to) HG in the frequency selectivity maps of most participants. This region was flanked by

406   areas with broad frequency selectivity profiles (Figure 3). We combined these maps of

407   frequency preference and selectivity and derived an operational definition of the core region, the

408   belt regions (see Rauschecker et al., 1995) for original definitions in macaque auditory cortex),

409   and planum temporale (PT; Figure 3; Table 1; see also *Methods*).

Spatial selectivity in human auditory cortex is higher in posterior, higher-order regions than in primary regions

412   To start, we examined general differences in the presence of spatially selective voxels between

413   cortical areas, i.e. inter-area differences irrespective of behavioral demands. The results show

414   that the average proportion of auditory responsive voxels that was spatially selective (averaged

415   across task conditions) varied across cortical regions in the left hemisphere (Figure 4 A, left

416   panel), as well as in the right hemisphere (Figure 4 A, right panel). In particular, in the left

417   hemisphere, PT contained relatively more spatially selective voxels than the core, CM, and CL

418   (Table 3). The proportion of selective voxels was also higher in left CL than in the left core. In

419   the right hemisphere, PT contained a higher proportion of selective voxels than the core and CL

420   as well, and the proportion of spatially selective voxels was higher in CM than in CL.

421   We also assessed spatial selectivity by investigating the relative tuning width of spatially

422   selective voxels within an area. For this measure of spatial selectivity, we observed an anterior

423   to posterior (rostral-to-caudal) increase of spatial selectivity as well, both in the left hemisphere

424   and right hemisphere (Table 2; Figure 4 B). Specifically, in the left hemisphere, spatial tuning

425   width was broader in the core than in PT, CM, and CL. Finally, spatial tuning width was

426   narrower in PT than in CL (Table 3; Figure 4 B left panel). In the right hemisphere, there was

427   also a difference in spatial tuning width between PT and the core. However, in this hemisphere

428   spatial tuning was sharpest in CM: there was a significant difference between CM and the core ,

429   and between CM and CL (Table 3; Figure 4 B right panel).

430   Next, we investigated cortical inter-area differences in spatial selectivity per behavioral task

431   condition. This revealed that there were differences in the proportion of spatially selective voxels

432   across areas in all behavioral conditions (Table 2). Specifically, post-hoc comparisons revealed

433   that the rostral-to-caudal increase in the proportion of spatially selective voxels was present in

434   all behavioral conditions in the left hemispehere. That is in each condition, there were more

435   spatially selective voxels in PT than in the core and in CM. Further, in the *passive listening* and

436   *sound identification* conditions – but not in the sound localization condition – there were more

437   spatially selective voxels in PT than in CL. In the right hemisphere, we observed significant

438   inter-area differences in the proportion of spatially selective voxels in the *sound identification*

439   condition only. Similar trends were present for the *passive listening* and *sound localization*

440   conditions, but these just failed to reach statistical significance (Table 2). Post-hoc pairwise

441   comparisons for the *sound identification* condition (Table 3) indicate that there are significantly

442   more spatially selective voxels in PT as well as in CM, compared to the core region (see also

443   Figure 5).

444 We also observed inter-area differences in relative tuning width per behavioral task condition in

445 the left hemisphere. That is, there were significant inter-area differences in all behavioral

446 conditions (Table 2), and in all conditions spatial tuning was sharper in PT than in the core

447 region (see results of post-hoc pairwise comparisons in Table 3). In addition, spatial tuning in

448 PT was sharper than CL in the *passive listening* and *sound identification* condition. Spatial

449 tuning was also sharper in CL than in the core during the *passive listening* and *sound

450 localization* condition. In the right hemisphere, we observed inter-area differences in the *passive

451 listening* and *sound localization* condition (a similar pattern was observed in the *sound

452 identification* condition, but this just failed to reach statistical significance; Table 2). Post-hoc

453 pairwise comparisons show that during *passive listening*, spatial tuning was sharper in PT than

454 in the core region. In addition, spatial tuning was sharper in CM than in either the core region

455 and CL. Also during active *sound localization*, spatial tuning in CM was sharper than in the core

456 and CL, and even PT (Table 3, see also Figure 5).

457 **Task-modulations of spatial selectivity within cortical auditory regions**

458 We then examined, for each cortical area, the effect of task performance on spatial selectivity.

459 There were no differences in the proportion of auditory responsive voxels that were spatially

460 selective across task conditions: none of the cortical regions showed an increase or decrease in

461 the proportion of spatially selective voxels based on task performance (one-tailed Wilcoxon

462 signed-rank tests, all $p > 0.05$, Figure 5 A). However, spatial tuning was sharper in the

463 localization condition compared to the sound identification condition in the left core region

464 (median identification condition = 108.8⁰, median localization condition = 104.5⁰, one-tailed

465 Wilcoxon signed-rank test, $p = 0.001$, q[FDR] < 0.05), and in right CM (median identification

466 condition = 91.2⁰, median localization condition = 85.0⁰, $p = 0.003$, q[FDR] < 0.05; Figure 5 B).

467 Figure 5C shows the population RAFs, which also reflect the sharpening of spatial selectivity in

468 the left core and right CM during active sound localization.

469   Next, we investigated the mechanism underlying the observed sharpening of spatial tuning in

470   the left core and right CM during the sound localization condition. Specifically, we evaluated

471   whether the change in spatial tuning between the two active task conditions resulted from

472   response gain (that is, an increase of the BOLD response amplitude at the voxel's preferred

473   location), response sharpening (a decrease of the BOLD response at the voxel's non-preferred

474   location), or a combination of these processes. For this comparison, we defined the voxel's

475   preferred location as the sound azimuth location with the maximum beta value in the task-

476   independent RAF (i.e. the average RAF across the two active task conditions). Similarly, we

477   defined the non-preferred location as the sound azimuth location with the minimum beta value in

478   the average RAF (see also Lee & Middlebrooks, 2011, 2013).

479   In both cortical areas, the BOLD response at the preferred location was similar for the two active

480   task conditions, while the BOLD response at non-preferred locations was lower in the sound

481   localization than in the sound identification condition. Specifically, Figure 6 shows that the beta

482   values for the preferred location were similar for both active task conditions (reflected by the

483   clustering of beta values around the diagonal; median beta left core in sound identification

484   [sound localization] condition = 0.39 [0.40]; median beta right CM in sound identification [sound

485   localization] condition = 0.27 [0.30]; Wilcoxon signed-rank tests for differences between task

486   conditions, $p > 0.05$). In contrast, the BOLD response at non-preferred locations was lower in

487   the sound localization than in the sound identification condition (most beta values are below the

488   diagonal; median beta left core in sound identification [sound localization] condition = 0.13 [-

489   0.04]; median beta right CM in sound identification [sound localization] condition = 0.04 [-0.11];

490   Wilcoxon signed-rank tests; left core: $p = 0.002$; right CM: $p = 0.014$; q[FDR] < 0.05). Thus,

491   sharpening of spatial tuning during active sound localization was mainly the result of a decrease

492   of BOLD signal amplitude at non-preferred locations, that is, response sharpening.

493   **Decoding sound azimuth location from fMRI population activity patterns**

494   Next we evaluated whether the encoding of sound azimuth in fMRI activity patterns in the core

495   and in PT varies with behavioral task requirements. Specifically, we applied a population-pattern

496   decoder based on maximum likelihood estimation (MLE) to the measured fMRI responses to the

497   probe sounds in the sound identification and sound localization condition (see *Methods*). Figure

498   7 shows for each cortical area and task condition the absolute error of the population pattern

499   decoder as a function of sound azimuth location. There was no difference in decoding

500   performance between ipsi- and contralateral locations: a comparison of the average absolute

501   error between hemifields (i.e. the average absolute error across -30º, -60º, and -90º, versus the

502   average across +30º, +60º, and +90º) did not yield significant results either for the core or for

503   PT, in any behavioral task condition (two-sided Wilcoxon signed-rank test per area and task

504   condition, FDR corrected for multiple comparisons, all q[FDR] > 0.05).

505   For the purpose of statistical comparisons between cortical areas and behavioral task

506   conditions, we computed the average absolute error across azimuth positions for each area and

507   task condition. Figure 7 B shows that the population pattern decoder performed better than

508   chance level in the left and right core in the sound localization condition. That is, in these areas

509   and task conditions the absolute error was significantly lower than chance (one-sided Wilcoxon

510   signed-rank test, FDR corrected for multiple corrections; median absolute error sound

511   localization condition left core = 61.1º, right core = 62.1º, chance error = 68.6º, p = 0.009 for

512   both regions, q[FDR] < 0.05). Chance level was computed with a permutation testing procedure

513   in which we randomly scrambled the RAFs of each participant (1500 iterations). In left PT, the

514   pattern decoder also performed better than chance in the localization condition (median

515   absolute error left PT = 58.9º, p = 9.8E-4, q[FDR] < 0.05). Similarly, in right PT the pattern

516   decoder performed marginally better than chance in the localization condition (median absolute

517   error right PT = 60.0º, p = 0.051, q[FDR] = 0.076). However, in the sound identification condition

518   the absolute error was larger than chance level in all cortical areas (median absolute error for

23

519   the sound identification condition per area: left core = 75.0º, right core = 66.4º, left PT = 70.7º,

520   right PT = 71.8º, $p > 0.05$; Figure 7 B), indicating that the pattern decoder did not perform well

521   for this behavioral condition.

522   We then tested for differences in sound location decoding performance for the probe sounds

523   between task conditions, within each cortical area. This showed that the pattern decoder

524   performed significantly better in the sound localization than in the sound identification condition

525   in the left core region – that is, the absolute error was significantly lower (one-sided Wilcoxon

526   signed-rank test, FDR-corrected for multiple comparisons; $p = 0.003$, q[FDR] < 0.05; Figure 7

527   B). In left PT we observed a similar task effect, but this did not reach statistical significance ($p =$

528   0.04, q[FDR] = 0.1). Figure 7 A shows that the absolute error decreased especially at the

529   midline and in contralateral space (0º to + 90º) for both the core and PT in the left hemisphere.

530   There was no significant effect of task in the right core or in right PT ($p > 0.05$; Figure 7). For the

531   right core, this may be a consequence of the relatively high performance of the pattern decoder

532   in the sound identification condition. In particular, sound azimuth location estimates were

533   significantly more accurate in the right, than in the left core in the sound identification condition

534   (two-sided Wilcoxon signed-rank test; $p = 0.022$, q[FDR] < .05), but not in the sound localization

535   condition ($p > 0.05$; Figure 7 B),

536   We also tested for each task condition whether there was a difference in decoding accuracy

537   between cortical areas. In the left hemisphere, the absolute error was lower in PT than in the

538   core region in the sound identification condition ($p = 0.0098$, q[FDR] < 0.05) but not in the sound

539   localization condition ($p > 0.05$). Figure 7 A shows that the inter-area difference in the sound

540   identification condition was mainly a result of lower absolute errors in PT in peripheral space. In

541   the right hemisphere, there was no significant difference between the core and PT either in the

542   sound identification condition ($p > 0.05$) or in the sound localization condition ($p > 0.05$). Note

543   that the lower absolute error observed in left PT was not a consequence of a larger number of

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544   voxels in this cortical region: the inter-area effect persisted even if the number of voxels in PT

545   included in the analysis was matched to the number of voxels in the core region (see *Methods*

546   and Figure 7 C).

547   Finally, we applied the maximum-likelihood decoder to the fMRI activity patterns of the left and

548   right hemisphere together: we provided the data of both hemispheres combined as input for the

549   pattern decoder. Note that to ensure that the number of voxels on which the pattern decoder

550   operates does not influence the sound location estimates, we randomly sampled half of the

551   voxels in the relevant region within a hemisphere and combined this with a random sample of

552   half of the voxels in the other hemisphere. This procedure was repeated 200 times, and we

553   computed the absolute error of the two-hemisphere decoder as the average absolute error

554   across those 200 iterations.

555   Figure 8 shows that combining the activity patterns in the two hemispheres resulted in lower

556   absolute errors when decoding azimuth position for probe sounds in the sound identification, but

557   not for probe sounds in the sound localization condition. Specifically, absolute error scores were

558   lower than chance level in the sound identification condition in both the core and in PT (median

559   absolute error core = 62.4º, median absolute error PT = 59.3º, chance error = 68.8º, p = 0.03

560   and p = 0.009 respectively, q[FDR] < 0.05). In addition, the absolute error in PT was lower for

561   the combined data than for either the left PT only (p = 0.016, q[FDR] < 0.05), or the right PT

562   only (p = 0.003, q[FDR] < 0.05). Inspecting absolute error as a function of sound azimuth

563   location (Figure 8 A), shows that combining the data of left and right PT resulted in lower

564   absolute error scores mainly in the periphery (-90º, -60º, +60º, and +90º). In contrast, for the

565   core the combination of the data of the left and right hemisphere resulted in more accurate

566   azimuth estimates in comparison to the left core (p = 0.002), but not in comparison to the right

567   core (p > 0.05). Further, the absolute error as a function of sound azimuth position (Figure 8 A)

568   shows that the absolute errors resulting from the combined data were similar to those resulting

569   from the decoder operating on the right core only. This indicates that the azimuth estimates

570   resulting from the pattern decoder operating on the core in two hemispheres are driven by the

571   activity patterns in the right core, rather than showing an improvement larger than the available

572   information in either core.

573   **DISCUSSION**

574   The major findings of the present study are that spatial selectivity of the left primary auditory

575   core cortex and right area CM are dynamic and dependent on behavioral requirements, that

576   fMRI activity patterns in the left core carry more information on sound azimuth location when

577   participants engage in a sound-localization task (in comparison to a task unrelated to sound

578   localization), and that integrating fMRI activity patterns measured during a 'what' task – but not

579   during a 'where' task – across bilateral PT results in more accurate sound azimuth location

580   estimates than in either left or right PT separately. Together, these results highlight the adaptive

581   potential of spatial tuning in the primary auditory cortex based on behavioral demands. A

582   possible mechanism for the observed task-modulation of spatial sensitivity in primary auditory

583   cortex is the feedback from functionally specialized regions (planum temporale) to this cortical

584   area. Specifically, such feedback connections from higher-order to primary regions may be

585   modulated by behavioral requirements to enable dynamic spatial sensitivity in the latter. Finally,

586   these findings provide new insights into models of sound location encoding in unilateral and

587   bilateral human auditory cortex.

588   **Dynamic spatial tuning in human auditory cortex**

589   Posterior auditory cortical regions are thought to be part of a functionally specialized stream for

590   sound location processing in animals (Harrington et al., 2008; Lomber & Malhotra, 2008;

591   Stecker et al., 2005; Stecker & Middlebrooks, 2003; Tian et al., 2001) and humans (Ahveninen

592   et al., 2006; Alain, Arnott, Hevenor, Graham, & Grady, 2001; Arnott et al., 2004; Brunetti et al.,

593   2005; Deouell et al., 2007; Derey et al., 2015). While we replicate these inter-area differences in

594   spatial selectivity between primary core and higher-order areas – and specifically the advantage

595   of caudal belt regions – that have been reported previously for passive listening or non-spatial

596   task-conditions, we also show that these differences are reduced in the left core and right CM

597   when humans engage in an active sound localization task. Thus, our findings indicate that,

598   depending on the behavioral requirements, primary auditory areas may contribute to sound

599   location processing as well.

600   Such task-dependent modulations of spatial sensitivity have not previously been observed in

601   humans. Zimmer and Macaluso (2005) reported a relationship between the level of activity in

602   posterior auditory regions and successful sound localization, but did not investigate cortical

603   spatial selectivity. Further, a recent neuroimaging study in humans did not report a modulation

604   of either ILD or ITD selectivity based on task-performance (Higgins et al., 2017). Yet, in the

605   latter study, the authors considered binaural cue response functions averaged across all

606   auditory responsive voxels within the auditory cortex, which may have diluted the results. That

607   is, our analyses show that task modulations of spatial selectivity are localized specifically in the

608   left core and right CM.

609   Our findings in human auditory cortex are compatible with animal studies showing that the

610   performance of both spatial and non-spatial tasks affects neuronal receptive fields in primary

611   auditory cortex (Fritz, Shamma, Elhilali, & Klein, 2003; Lee & Middlebrooks, 2011; Otazu, Tai,

612   Yang, & Zador, 2009). One hypothesis is that higher-order, functionally specialized cortical

613   areas such as PT modulate spatial tuning in primary auditory cortex via back-projections. In

614   particular, our data are compatible with theoretical frameworks of sensory processing such as

615   the "reverse hierarchy" (Ahissar et al., 2009) and recurrent processing models (Bullier, 2001;

616   Lamme & Roelfsema, 2000). Similar to visual cortex, the auditory cortex is characterized by

617   dense reciprocal connections between primary and higher-order cortical areas (Kaas & Hackett,

27

618 2000; Lee & Winer, 2011). Lateral prefrontal cortex (PFC) may mediate such feedback

619 processing: lateral PFC is known to project back to early regions of the lateral auditory belt

620 (Romanski et al., 1999) and has been implicated in a two-stage model of categorization of

621 sounds (Jiang, Chevillet, Rauschecker, & Riesenhuber, 2018).

Differences in sound location processing between the left and right auditory pathway

623 In humans, lesion and functional imaging studies suggest that the right (sub)cortical pathway

624 may contain a representation of the entire acoustic azimuth, while in the left (sub)cortical

625 pathway the representation of the contralateral acoustic azimuth is thought to be pre-dominant

626 (e.g. Briley, Kitterick, & Summerfield, 2013; Higgins et al., 2017; Krumbholz et al., 2005; Spierer,

627 Bellmann-Thiran, Maeder, Murray, & Clarke, 2009; Zatorre & Penhune, 2001). Differential

628 spatial processing between the left and right auditory pathway has also been observed in

629 several animal species. For instance, Day and Delgutte (2013) observed in rabbit inferior

630 colliculus a gradient of deteriorating sound location decoding accuracy from locations at the

631 midline towards the periphery. In contrast, in monkeys, Miller and Recanzone (2009) observed

632 in area A1 and CL most accurate sound location decoding results in contralateral space, with

633 low decoding accuracies at the midline and especially in ipsilateral space: the magnitude of

634 sound location estimation errors in the ipsilateral hemifield and around the midline was distinctly

635 higher than the errors observed in the present study. Only in area R were decoding errors lower

636 around the midline than in either ipsi- or contralateral space. Here we did not observe a

637 difference in location decoding accuracy between ipsi- and contralateral space either for the left

638 or right auditory cortex. Yet, our results did reflect sharper spatial tuning in the right than left

639 core when the task was unrelated to sound location (the 'what' task), which may be a reflection

640 of the hypothesized right dominance for human spatial hearing. Future research with non-

641 invasive lesion techniques in humans combined with advanced neuroimaging and

642   computational modeling studies is required to elucidate these potential differences between the

643   left and right human auditory pathway.

644   **Integrating information on sound azimuth location across hemispheres**

645   Our results show that the integration of sound location processing across the two hemispheres

646   may be task-dependent. Specifically, location estimates based on fMRI activity patterns in

647   bilateral PT were more accurate than those based on either left or right PT independently for the

648   task condition unrelated to sound localization ('what' task), while, this bilateral advantage was

649   not present during active localization ('where' task). For the core region, we also observed a

650   bilateral advantage for the 'what' task compared to the left core separately, but not for the right

651   core. This suggests that the bilateral advantage, is merely a reflection of the more accurate

652   decoding obtained for the right core in itself. Similar to PT, no bilateral decoding improvement

653   was observed during active sound localization for the core region. Thus, fMRI activity patterns in

654   left and right PT - and possibly in the left and right core - contain complementary information on

655   sound azimuth location when participants are not engaged in active sound localization, resulting

656   in better location estimates when the information in the two hemispheres is combined. In

657   contrast, information in the two hemispheres appears to be overlapping during active sound

658   localization, such that combining the information across the hemispheres appears to be

659   redundant during this behavioral condition.

660   This may be explained by a task-dependent strength of functional callosal connections. In

661   particular, in macaques there are major interhemispheric connections both between the left and

662   right core, and between left and right parabelt (Kaas & Hackett, 2000). If similar callosal

663   connections between bilateral primary and higher order auditory cortices exist in humans, it is

664   conceivable that during active sound localization the functional connectivity between left and

665   right PT increases compared to during non-localization tasks. As a consequence, spatial

666   processing in left PT may modulate spatial processing in right PT during active localization (and

667   vice versa), while spatial information in left and right PT is relatively independent – and thus

668   complementary – during non-spatial tasks. Alternatively, corticofugal projections (e.g. Winer &

669   Schreiner, 2005) may strengthen during active sound localization, and thereby indirectly

670   modulate sound location processing in the contralateral hemisphere.

671   The observed task-dependency of bilateral integration of information is also of interest for the

672   ongoing debate about the computational mechanisms underlying sound location processing in

673   mammals. In particular, models for neural population coding of sound azimuth location have

674   received wide attention in recent years, including population coding within a single hemisphere

675   (unilateral population coding, e.g. Day & Delgutte, 2013; Miller & Recanzone, 2009), *unilateral*

676   *opponent population coding* based on two oppositely tuned channels within a single hemisphere

677   (i.e. an ipsi- and a contralaterally tuned channel, Stecker et al., 2005), and *bilateral opponent*

678   population coding based on combining the sound azimuth information of contralaterally tuned

679   channels in each hemisphere (e.g. Derey et al., 2015; McAlpine et al., 2001; Ortiz-Rios et al.,

680   2017). Our current results suggest that the degree to which information is combined across

681   hemispheres may be dependent on behavioral requirements, indicating that unilateral and

682   bilateral models of sound location encoding may not be mutually exclusive.

683

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823

FIGURE LEGENDS

825 **Figure 1. Stimuli.** (A) Azimuth locations at which sound sources were presented. (B) Example

826 of a probe trial (top), a target trial for the sound localization task (middle), and a target trial for

827 the sound identification task (bottom). A probe trial consisted of a block of five stimulus

828 presentations at one azimuth location. In the sound localization task, the target trial consisted of

829 five stimulus presentations as well, yet for the fourth (depicted here) or fifth repetition the

830 azimuth location was changed. For target trials in the sound identification condition, azimuth

831 location remained constant across the five stimulus repetitions but the fourth or fifth repetition

832 was replaced by a deviant click train. (C) Lines reflect the interaural time difference (ITD; left)

833 and interaural level difference (ILD; right) for stimuli at a specific sound azimuth position,

834 averaged across the binaural recordings of all participants. ILD was computed as the arithmetic

835 difference in power (measured as root mean square [RMS]) between the left and right channel

836 of each binaural recording. To compute ITD, we first computed the interaural phase difference

837 (IPD) which we subsequently converted to time differences. (D) Plotted is the power spectrum of

838 the left channel of the binaural recordings (i.e. the left ear) at specific azimuth positions,

839 averaged across all participants. The difference in power in specific frequency bands dependent

840 on sound azimuth location illustrates the availability of spectral cues in the recordings. Colors

841 similar to (C).

842 **Figure 2. Estimating sound azimuth location with a maximum-likelihood population**

843 **pattern decoder.** Small graphs show the log-likelihood function for each voxel for a given

844 sound azimuth location (rows), with the fMRI response strength (beta value) on the-x axis, and

845 the log-likelihood on the y-axis. Large graph on the right shows the resulting population log-

846 likelihood function, which is the sum of the log-likelihood functions of the individual voxels at

847 each location.

848 **Figure 3. Parcellation of the human auditory cortex.** (A) The figure shows an enlarged view

849 of the superior temporal plane in the right hemisphere, with a schematic overview of the

850 parcellation used in the present study overlaid on top. (B) Figures show the left and right

851 superior temporal plane of a representative participant with the group map of frequency

852 preference overlaid (top row; warm colors indicate a maximum response to low frequencies,

853 cold colors to high frequencies), and frequency selectivity (bottom row; orange to green colors

854 indicate broad tuning, blue to purple colors indicate progressively sharper tuning. (C) Similar to

855 (A) but for a single representative participant.

856 **Figure 4. Spatial selectivity across auditory cortical areas in humans.** (A) Box-plots show,

857 for each cortical area, the distribution of the proportion of spatially selective voxels across

858 participants (averaged across task conditions). (B) Box-plots reflect the distribution of relative

859 spatial tuning width (ERRF width, averaged across task conditions) across participants. The

860 central circle of a box indicates the median of the distribution, the edges the 25th and 75th

861 percentiles, and lines the full range of values. Circles represent outliers. Horizontal lines indicate

862 a significant difference between areas at $p < 0.05$, FDR corrected for multiple comparisons at q

863 < 0.05. CM = caudo-medial region. CL = caudo-lateral region. PT = planum temporale.

864 **Figure 5. Task modulations of spatial selectivity in human auditory cortex.** (A) Box-plots

865 show for each task condition the distribution of the proportion of voxels that exhibit a spatially

866 selective response across participants. Black boxes indicate the passive listening condition, red

867 boxes the sound identification condition, and blue boxes the sound localization condition. (B)

868 Box-plots reflect the distribution of relative spatial tuning width (ERRF width) across participants

869 for each area and task condition. Colors similar to (A). The central circle of a box indicates the

870 median of the distribution, the edges the 25th and 75th percentiles, and lines the full range of

871 values. Circles represent outliers. Horizontal lines indicate a significant difference between

872 areas at $p < 0.05$, FDR corrected for multiple comparisons at $q < 0.05$. (C) Population RAFs are

873   plotted for the spatially selective voxels within an area for the two active task conditions. RAFs

874   are averaged across participants, blue lines indicate the sound identification condition, red lines

875   the sound localization condition. CM = caudo-medial region. CL = caudo-lateral region. PT =

876   planum temporale.

877   **Figure 6. Sharper spatial selectivity during active sound localization is a result of**

878   **response sharpening.** Scatterplots show for each participant the average beta value across

879   voxels that exhibited sharper spatial selectivity (i.e. a decrease in ERRF width of 15% or more)

880   during the sound localization condition (y-axis) than sound identification condition (x-axis). at the

881   preferred (filled circles) and non-preferred location (open circles) for the left core region (left

882   panel) and right CM (right panel). Circles below the diagonal reference line reflect a decrease in

883   beta value in the sound localization condition.

884   **Figure 7. Decoding sound azimuth from population pattern activity in the core region and**

885   **PT during a sound identification ('what') and a sound localization ('where') task.** (A) Lines

886   reflect the average absolute error of the sound azimuth estimate resulting from the population

887   pattern decoder (y axis) as a function of actual sound azimuth (x axis) for a particular cortical

888   area and task condition. Light blue lines: core region during sound identification task. Dark blue

889   lines: core region during sound localization task. Light green lines: PT during sound

890   identification task. Dark green lines: PT during sound localization task. Error bars reflect the

891   standard error of the mean (SEM). (B) Box-plots of the absolute error of the sound azimuth

892   estimates averaged across the seven sound azimuth positions. Colors similar to (A). Horizontal

893   black lines at the top of the figure indicate a significant difference in prediction error between

894   cortical areas or task conditions ($p < 0.05$, q[FDR] < 0.05). Horizontal red lines at the bottom of

895   the figure indicate that the absolute error is below chance level ($p < 0.05$, q[FDR] < 0.05). (C)

896   Lines reflect the performance of the population pattern decoder for PT controlled for the number

897   of voxels. Similar to (A), lines reflect the average absolute error. Solid lines are identical to those

898   for area PT depicted in (A). Dashed lines show the average absolute error across random

899   samples (200 iterations) of voxels in PT. Specifically, for each participant we sampled a number

900   of voxels from PT equal to the number of voxels included in the analysis for the core. Error bars

901   reflect the standard error of the mean (SEM).

902   **Figure 8. Decoding sound azimuth from population pattern activity across two**

903   **hemispheres.** (A) Lines reflect the average absolute error of the sound azimuth estimate

904   resulting from the population pattern decoder (y axis) as a function of actual sound azimuth (x

905   axis) for a particular cortical area and task condition. Light blue lines: core region during sound

906   identification task. Dark blue lines: core region during sound localization task. Light green lines:

907   PT during sound identification task. Dark green lines: PT during sound localization task. Error

908   bars reflect the standard error of the mean (SEM). (B) Box-plots of the absolute error of the

909   sound azimuth estimates averaged across the seven sound azimuth positions. Colors similar to

910   (A). Gray boxes are identical to the boxes shown in Figure 6 and show the absolute error for the

911   left hemisphere only (left-most gray box) and for the right hemisphere only (right-most gray box)

912   for comparison. Horizontal black lines at the top of the figure indicate a significant difference in

913   prediction error between cortical areas or task conditions ($p < 0.05$, q[FDR] < 0.05. Horizontal

914   red lines at the bottom of the figure indicate that the absolute error is below chance level ($p <$

915   0.05, q[FDR] < 0.05).

916

TABLES

Table 1. Number of auditory responsive voxels per cortical area.

	Average number of voxels (standard deviation)	
Cortical area	**Left hemisphere**	**Right hemisphere**
Core	160.0 (24.2)	138.9 (19.1)
CM	86.6 (14.9)	83.6 (12.9)
CL	73.9 (15.5)	68.3 (12.2)
RM	56.0 (15.0)	51.6 (15.7)
RL	57.8 (12.3)	44.6 (16.7)
PT	271.3 (49.4)	238.4 (48.0)

918   Table shows number of auditory responsive voxels for each cortical area, averaged across

919   participants. CM = caudo-medial area. CL = caudo-lateral area. RM = rostro-medial area. RL =

920   rostro-lateral area. PT = planum temporale.

921

922 **Table 2.** Differences in the proportion of spatially selective voxels and tuning width between

923 cortical auditory areas. Table shows the statistical results of Friedman tests to compare the

924 proportion of spatially selective voxels (upper part) and relative tuning width (lower part)

925 between cortical auditory areas, as well as the median value for each cortical area.

		Left hemisphere							Right hemisphere						
		Friedman test			*Median*				*Friedman test*			*Median*			
	Condition	X^2	*df*	*p*	**Core**	**CM**	**CL**	**PT**	X^2	*df*	*p*	**Core**	**CM**	**CL**	**PT**
Proportion spatially selective voxels	Passive	18.6	3	0.0003*	51.2	63.6	65.6	68.8	7.1	3	0.067	58.3	62.2	55.9	66.1
	Identification	18.6	3	0.0003*	50.0	58.8	61.2	66.4	10.1	3	0.018*	51.3	60.7	60.8	63.3
	Localization	9.4	3	0.024*	52.3	55.3	54.1	63.9	7.9	3	0.048	56.2	59.3	58.2	64.7
	Average	19.5	3	0.0002*	50.6	56.3	60.6	66.5	12.4	3	0.0062*	53.8	62.6	56.6	65.2
Tuning width	Passive	15.9	3	0.0012*	108.3	97.7	96.8	90.7	17.9	3	0.0005*	102.0	90.5	100.6	94.0
	Identification	15.6	3	0.0014*	108.8	95.6	95.5	91.8	7.4	3	0.058	103.1	91.2	97.4	97.3
	Localization	10.1	3	0.018*	104.5	98.8	99.2	92.8	15.4	3	0.0015*	102.0	85.0	100.4	95.1
	Average	16.7	3	0.0008*	105.3	95.9	98.7	91.2	18.4	3	0.0004*	101.6	89.1	98.6	95.7

926 * *p* value significant after FDR correction for multiple comparisons ($q < 0.05$). Proportions are

927 displayed in percentages, tuning width in degrees. CM = caudomedial area; CL = caudolateral

928 area; PT = planum temporale.

929

Table 3. Statistical results (*p* values) of post-hoc pairwise comparisons of the proportion of spatially selective voxels (upper part) and tuning width (lower part) between cortical auditory regions (two-sided Wilcoxon signed-rank tests).

			Left hemisphere			Right hemisphere		
			Core	CM	CL	Core	CM	CL
Proportion of spatially selective voxels	Passive	CM	0.320			n.a.		
		CL	0.001*	0.206		n.a.	n.a.	
		PT	0.001*	0.024*	0.320	n.a.	n.a.	n.a.
	Identification	CM	0.206			0.007*		
		CL	0.005*	0.175		0.577	0.042	
		PT	0.001*	0.002*	0.054	0.010*	0.966	0.054
	Localization	CM	0.638			n.a.		
		CL	0.577	0.700		n.a.	n.a.	
		PT	0.002*	0.010*	0.032	n.a.	n.a.	n.a.
	Average	CM	0.320			0.042		
		CL	0.002*	0.175		0.700	0.014*	
		PT	0.001*	0.005*	0.014*	0.007*	0.465	0.010*
Tuning width	Passive	CM	0.042			0.001*		
		CL	0.005*	0.765		0.320	0.003*	
		PT	0.001*	0.083	0.024*	0.019*	0.148	0.067
	Identification	CM	0.007*			n.a.		
		CL	0.010*	0.638		n.a.	n.a.	
		PT	0.001*	0.278	0.032*	n.a.	n.a.	n.a.
	Localization	CM	0.067			0.005*		
		CL	0.083	0.638		0.465	0.005*	
		PT	0.002*	0.465	0.067	0.042	0.019*	0.413
	Average	CM	0.019*			0.002*		
		CL	0.005*	0.700		0.148	0.001*	
		PT	0.001*	0.123	0.032*	0.019*	0.054	0.365

* Significant after FDR correction at *q* < 0.05. Note that we only performed post-hoc pairwise comparisons for those behavioral conditions that showed significant inter-area differences as assessed with the Friedman tests displayed in Table 2. CM = caudomedial area; CL = caudolateral area; PT = planum temporale.

A

0⁰ ... (head schematic with positions −90⁰, −60⁰, −30⁰, 0⁰, 30⁰, 60⁰, 90⁰)

B

Probe trial

60⁰ 60⁰ 60⁰ 60⁰ 60⁰

AM white noise 1.2s   image acquisition 2s   silent gap 1.4s

Target trial - localization

60⁰ 60⁰ 60⁰ -30⁰ 60⁰

Target trial - identification

60⁰ 60⁰ 60⁰ 60⁰ 60⁰

click train 1.2s

C

Legend: −90⁰ +90⁰ −60⁰ +60⁰ −30⁰ +30⁰ 0⁰

ITD (ms) vs Frequency (kHz)

ILD (dB) vs Frequency (kHz)

D

Power (dB) vs Frequency (kHz)

Population
log likelihood

-90⁰ -60⁰ -30⁰ 0⁰ +30⁰ +60⁰ +90⁰

Log likelihoods
for azimuth -90⁰

Log likelihoods
for azimuth -60⁰

Log likelihoods
for azimuth +90⁰

Voxel 1   Voxel 2   Voxel N

A

Planum temporale

Caudo-medial   Rostro-medial

Core

Caudo-lateral   Rostro-lateral

Dorsal

Anterior

B

LF ▬▬▬ HF

Broad ▬▬▬ Narrow

C

LF ▬▬▬ HF

Broad ▬▬▬ Narrow

A

Left hemisphere   Right hemisphere

Proportion of voxels (%)

Core   CM   CL   PT

Proportion (%)

50   70

B

Tuning width (degrees)

Core   CM   CL   PT

Tuning width

85°   105°

Core - Left hemisphere

○ *non-preferred location*
● *preferred location*

Sound localization (beta)

Sound identification (beta)

CM - Right hemisphere

Sound localization (beta)

Sound identification (beta)

A

Left hemisphere

Right hemisphere

Chance level
Core - Identification
Core - Localization
PT - Identification
PT - Localization

B

Left hemisphere

Right hemisphere

Core Identification
Core Localization
PT Identification
PT Localization

C

Left hemisphere

Right hemisphere

Chance
PT - All voxels
Identification
Localization
PT - Random samples
Identification
Localization